Discrete Mathematics (2009 Spring) Basic Number Theory (§3.4~§3.7, 4 hours)

Chih-Wei Yi

Dept. of Computer Science National Chiao Tung University

April 4, 2009

Basic Number Theory

└_§3.4 The Integers and Division

§3.4 The Integers and Division

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□▶

└_§3.4 The Integers and Division

Division, Factors, Multiples

Definition

Let $a, b \in \mathbb{Z}$ with $a \neq 0$. $a|b :\equiv "a$ divides $b" :\equiv "\exists c \in \mathbb{Z} : b = ac"$. "There is an integer c such that c times a equals b." • We say a is a factor or a divisor of b, and b is a multiple of a.

Example

$$3 \mid -12 \iff \mathbf{T}$$
; but $3 \mid 7 \iff \mathbf{F}$.

Example

"b is even" : $\equiv 2|b$. Is 0 even? Is -4?

└_§3.4 The Integers and Division

Facts: the Divides Relation

Theorem

 $\forall a, b, c \in \mathbb{Z}$:

1
$$a|0 \text{ for any } a \neq 0.$$

2 $(a|b \wedge a|c) \rightarrow a|(b+c).$
3 $a|b \rightarrow a|bc.$
4 $(a|b \wedge b|c) \rightarrow a|c$

Proof.

(2) a|b means there is an s such that b = as, and a|c means that there is a t such that c = at, so b + c = as + at = a(s + t), so a|(b + c) also.

└_§3.4 The Integers and Division

The Division "Algorithm"

Theorem

For any integer dividend a and divisor $d \neq 0$, there is a unique integer quotient q and remainder $r \in \mathbb{N}$ such that (denoted by \ni) a = dq + r and $0 \le r < |d|$.

• $\forall a, d \in \mathbb{Z} \land d \neq 0 \ (\exists !q, r \in \mathbb{Z} \ni 0 \leq r < |d| \land a = dq + r).$

- We can find q and r by: $q = \lfloor a/d \rfloor$, r = a qd.
- Really just a theorem, not an algorithm ...
 - The name is used here for historical reasons.

└_§3.4 The Integers and Division

The Mod Operator

Definition (An integer "division remainder" operator)

Let $a, d \in \mathbb{Z}$ with d > 1. Then $a \mod d$ denotes the remainder r from the division "algorithm" with dividend a and divisor d; i.e. the remainder when a is divided by d.

- We can compute $(a \mod d)$ by: $a d \cdot \lfloor a/d \rfloor$.
- In C programming language, "%" = mod.

Basic Number Theory

└_§3.4 The Integers and Division

Modular Congruence

Definition

Let $a, b \in \mathbb{Z}$ and $m \in \mathbb{Z}^+$. Then a is congruent to b modulo m, written " $a \equiv b \pmod{m}$ ", if and only if m|a - b.

- Also equivalent to $(a b) \mod m = 0$.
- Note: this is a different use of ":=" than the meaning "is defined as" I've used before.

Visualization of mod.

└_§3.4 The Integers and Division

Useful Congruence Theorems

Let
$$a, b \in \mathbb{Z}$$
 and $m \in \mathbb{Z}^+$. Then,
 $a \equiv b \pmod{m} \iff \exists k \in \mathbb{Z} : a = b + km.$

• Let $a, b, c, d \in \mathbb{Z}$ and $m \in \mathbb{Z}^+$. Then, if $a \equiv b \mod m$ and $c \equiv d \mod m$, we have

•
$$a + c \equiv b + d \mod m$$
, and

•
$$ac \equiv bd \mod m$$

Problem

Prove!!!

Basic Number Theory

└_§3.5 Primes and Greatest Common Divisors

§3.5 Primes and Greatest Common Divisors

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへぐ

Basic Number Theory

└_§3.5 Primes and Greatest Common Divisors

Prime Numbers

Definition (Prime)

An integer p > 1 is prime iff it is not the product of any two integers greater than 1,

■
$$p > 1 \land \neg \exists a, b \in \mathbb{N} : a > 1, b > 1, ab = p$$
.

The only positive factors of a prime p are 1 and p itself.

■ Some primes: 2, 3, 5, 7, 11, 13, · · ·

Definition (Composite)

Non-prime integers greater than 1 are called composite, because they can be composed by multiplying two integers greater than 1.

└_§3.5 Primes and Greatest Common Divisors

The Fundamental Theorem of Arithmetic

Theorem

Every positive integer has a unique representation as the product of a non-decreasing series (its "Prime Factorization") of zero or more primes. E.g.,

- 1 = (product of empty series) = 1;
- 2 = 2 (product of series with one element 2);
- $4 = 2 \cdot 2$ (product of series 2, 2);
- $2000 = 2 \cdot 2 \cdot 2 \cdot 2 \cdot 5 \cdot 5 \cdot 5;$
- 2001 = $3 \cdot 23 \cdot 29;$
- $2002 = 2 \cdot 7 \cdot 11 \cdot 13;$
- 2003 = 2003.

└_§3.5 Primes and Greatest Common Divisors

Theorem

If n is a composit integer, then n has a prime divisor less than or equal to \sqrt{n} .

Theorem

There are infinitely many primes.

Problem

Are all numbers in the form $2^n - 1$ for $n \in Z^+$ primes?

■
$$2^2 - 1 = 3$$
, $2^3 - 1 = 7$, and $2^5 - 1 = 31$ are primes.

• $2^4 - 1 = 15$ and $2^{11} - 1 = 2047 = 23 \cdot 89$ are composites.

└_§3.5 Primes and Greatest Common Divisors

Greatest Common Divisor

Definition

The greatest common divisor gcd(a, b) of integers a, b (not both 0) is the largest (most positive) integer d that is a divisor both of a and of b.

$$\bullet \ d = \gcd(a, b) = \max_{d \mid a \land d \mid b} d.$$

$$d|a \wedge d|b \wedge (\forall e \in \mathbb{Z} : (e|a \wedge e|b) \rightarrow d \ge e).$$

Example

gcd(24, 36) = ?

Solution

Positive common divisors: 1, 2, 3, 4, 6, 12. The greatest one is 12.

Basic Number Theory

└_§3.5 Primes and Greatest Common Divisors

GCD Shortcut

If the prime factorizations are written as $a = p_1^{a_1} p_2^{a_2} \cdots p_n^{a_n}$ and $b = p_1^{b_1} p_2^{b_2} \cdots p_n^{b_n}$, then the GCD is given by

$$gcd(a, b) = p_1^{\min(a_1, b_1)} p_2^{\min(a_2, b_2)} \cdots p_n^{\min(a_n, b_n)}$$

Example

$$a = 84 = 2 \cdot 2 \cdot 3 \cdot 7 = 2^2 \cdot 3^1 \cdot 7^1;$$

$$b = 96 = 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 3 = 2^5 \cdot 3^1 \cdot 7^0;$$

$$gcd(84, 96) = 2^2 \cdot 3^1 \cdot 7^0 = 2 \cdot 2 \cdot 3 = 12.$$

└_§3.5 Primes and Greatest Common Divisors

Relative Primality

Definition (Coprime)

Integers a and b are called relatively prime or coprime iff their GCD is 1. E.g.,

■ 21 and 10 are coprime. 21 = 3 · 7 and 10 = 2 · 5, so they have no common factors > 1, so their GCD is 1.

Definition (Relatively prime)

A set of integers $\{a_1, a_2, \dots\}$ is (pairwise) relatively prime if all pairs a_i, a_j for $i \neq j$ are relatively prime. E.g.,

■ {7, 8, 15} is relatively prime, but {7, 8, 12} is not relatively prime.

└_§3.5 Primes and Greatest Common Divisors

Least Common Multiple

Definition (Least Common Multiple (LCM))

lcm(a, b) of positive integers a and b is the smallest positive integer that is a multiple both of a and of b.

$$m = \operatorname{lcm}(a, b) = \min_{a|m \wedge b|m} m.$$
$$a|m \wedge b|m \wedge (\forall n \in \mathbb{Z} : (a|n \wedge b|n) \to (m \le n)$$

Example

lcm(6, 10) = 30

• If the prime factorizations are written as $a = p_1^{a_1} p_2^{a_2} \cdots p_n^{a_n}$ and $b = p_1^{b_1} p_2^{b_2} \cdots p_n^{b_n}$, then the LCM is given by $\operatorname{lcm}(a, b) = p_1^{\max(a_1, b_1)} p_2^{\max(a_2, b_2)} \cdots p_n^{\max(a_n, b_n)}.$

Basic Number Theory

└§3.6 Integers & Algorithms

§3.6 Integers & Algorithms

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 の�?

Basic Number Theory

└§3.6 Integers & Algorithms

Topics

- Euclidean algorithm for finding GCD's.
- Base-b representations of integers.
 - Especially: binary, hexadecimal, octal.
 - Also: Two's complement representation of negative numbers.

- Algorithms for computer arithmetic.
 - Binary addition, multiplication, division.

└§3.6 Integers & Algorithms

Euclid's Algorithm for GCD

- Finding GCDs by comparing prime factorizations can be difficult if the prime factors are unknown.
- Euclid discovered that for all integers *a* and *b*,

$$gcd(a, b) = gcd((a \mod b), b).$$

 Sort a, b so that a > b, and then (given b > 1) (a mod b) < a, so problem is simplified.

└_§3.6 Integers & Algorithms

Example (Euclid's Algorithm Example)

Find gcd (372, 164).

Solution

 $gcd(372, 164) = gcd(372 \mod 164, 164);$

■ $372 \mod 164 = 372 - 164 \lfloor 372/164 \rfloor = 372 - 164 \cdot 2 = 372 - 328 = 44.$

 $gcd(164, 44) = gcd(164 \mod 44, 44);$

■ $164 \mod 44 = 164 - 44 \lfloor 164/44 \rfloor = 164 - 44 \cdot 3 = 164 - 132 = 32.$

 $\begin{aligned} & \gcd \left(44, 32\right) = \gcd \left(44 \mod 32, 32\right) = \gcd \left(12, 32\right); \\ & \gcd \left(32, 12\right) = \gcd \left(32 \mod 12, 12\right) = \gcd \left(8, 12\right); \\ & \gcd \left(12, 8\right) = \gcd \left(12 \mod 8, 8\right) = \gcd \left(4, 8\right); \\ & \gcd \left(8, 4\right) = \gcd \left(8 \mod 4, 4\right) = \gcd \left(0, 4\right) = 4. \end{aligned}$

Basic Number Theory

└§3.6 Integers & Algorithms

Euclid's Algorithm Pseudocode

procedure gcd(
$$a$$
, b : positive integers)
while $b \neq 0$
 $r = a \mod b$; $a = b$; $b = r$;
return a ;

- Sorted inputs are not necessary.
- The number of while loop iterations is $O(\log \max(a, b))$.

└_§3.6 Integers & Algorithms

Base-b Number Systems

Definition (The "base b expansion of n")

For any positive integers *n* and *b*, there is a unique sequence $a_k a_{k-1} \cdots a_1 a_0$ of digits $a_i < b$ such that

$$n=\sum_{i=0}^k a_i b^i.$$

Ordinarily we write base-10 representations of numbers (using digits 0 - 9).

■ 10 isn't special; any base *b* > 1 will work.

└_§3.6 Integers & Algorithms

Particular Bases of Interest

- Base b = 10 (decimal): used only because we have 10 fingers 10 digits: 0, 1, 2, 3, 4, 5, 6, 7, 8, 9.
- Base b = 2 (binary): used internally in all modern computers
 2 digits: 0, 1. ("Bits" = "binary digits.")
- Base b = 8 (octal): octal digits correspond to groups of 3 bits 8 digits: 0, 1, 2, 3, 4, 5, 6, 7.

Base b = 16 (hexadecimal): hex digits give groups of 4bits 16 digits: 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, A, B, C, D, E, F

└_§3.6 Integers & Algorithms

Converting to Base b

Informal algorithm to convert any integer n to any base b > 1:

- To find the value of the rightmost (lowest-order) digit, simply compute n mod b.
- 2 Now replace *n* with the quotient $\lfloor n/b \rfloor$.
- 3 Repeat above two steps to find subsequent digits, until n is gone (i.e., n = 0).

Problem

Write down the pseudocode.

└_§3.6 Integers & Algorithms

Addition of Binary Numbers

procedure add $(a_{n-1} \cdots a_0, b_{n-1} \cdots b_0)$: binary representations of non-negative integers a and b) carry = 0for bitIndex = 0 to n-1{go through bits} begin $bitSum = a_{bitIndex} + b_{bitIndex} + carry \{2-bit sum\}$ $s_{bitIndex} = bitSum \mod 2$ {low bit of sum} carry = |bitSum/2|{high bit of sum} end $s_n = carry$

return $s_n \cdots s_0$ {binary representation of integer s}

Basic Number Theory

└_§3.6 Integers & Algorithms

Multiplication of Binary Numbers

procedure multiply($a_{n-1} \cdots a_0, b_{n-1} \cdots b_0$: binary representations of $a, b \in \mathbb{N}$) product = 0 for i = 0 to n - 1if $b_i = 1$ then product = $\operatorname{add}(a_{n-1} \dots a_0 0^i, product)$ return product

• $a_{n-1} \dots a_0 0^i$: *i* extra 0-bits appended after $a_{n-1} \dots a_0$.

Basic Number Theory

└§3.6 Integers & Algorithms

Modular Exponentiation

procedure mod_ $exp(b \in \mathbb{Z}, n = (a_{k-1}a_{k-2}...a_0)_2, m \in \mathbb{Z}^+)$ x = 1 $power = b \mod m$ for i = 0 to k - 1begin if $a_i = 1$ then $x = (x \cdot power) \mod m$ $power = (power \cdot power) \mod m$ end return x

Basic Number Theory

└_§3.7 Applications of Number Theory

§3.7 Applications of Number Theory

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへぐ

└_§3.7 Applications of Number Theory

Extended Euclidean Algorithm

If a and b are positive integers, then there exist integers s and t such that gcd(a, b) = sa + tb.

Example

Express gcd(252, 198) = 18 as a linear comination of 252 and 198.

Solution

Step 1: Euclidean algorithm

$$gcd(252, 198) = gcd(54, 198) \qquad 252 = 1 \times 198 + 54 \\ = gcd(54, 36) \qquad 198 = 3 \times 54 + 36$$

$$\mathsf{gcd}(\mathsf{36},\mathsf{18}) \qquad \mathsf{54} = \mathsf{1} imes \mathsf{36} + \mathsf{18}$$

 $= \gcd(18,0)$

└_§3.7 Applications of Number Theory

Solution ((Cont.))

Step 2: Backward substitution

$$8 = 54 - 36$$

= 54 - (198 - 3 × 54)
= 4 × 54 - 198
= 4 × (252 - 198) - 198
= 4 × 252 - 5 × 198.

Basic Number Theory

└_§3.7 Applications of Number Theory

Some Lemmas

Lemma

If a, b, and c are positive integers such that gcd(a, b) = 1 and a|bc, then a|c.

Proof.

Since
$$gcd(a, b) = 1, \exists s, t: sa + tb = 1$$
.
Multiply by c, then $sac + tbc = c$.
 $\therefore a | sac and a | tbc \therefore a | sac + tbc$

Lemma

If p is a prime and $p|a_1a_2...a_n$ where each a_i is an integer, then for some i, $p|a_i$.

└_§3.7 Applications of Number Theory

Cancellation Rule

Theorem

Let m be a positive integer and let a, b, and c be integers. If $ac \equiv bc \pmod{m}$ and gcd(c, m) = 1, then $a \equiv b \pmod{m}$.

Proof.

Since
$$ac \equiv bc \pmod{m}$$
, $ac - bc = c(a - b) \equiv 0 \pmod{m}$.
In other words, $m|c(a - b)$.
 $\therefore \gcd(c, m) = 1 \therefore m|a - b$.
 $a \equiv b \pmod{m}$.

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

└_§3.7 Applications of Number Theory

Existence of Inverse

Definition

a, b, and m > 1 are integers. If $ab \equiv 1 \mod m$, b is called an inverse of a modulo m.

Theorem

If a and m are relatively prime integers and m > 1, then an inverse of a modulo m exists. Furthermore, this inverse is unique modulo m.

Proof.

Since a and m are relatively prime, i.e. gcd(a, m) = 1, there exist integers s and t such that 1 = sa + tm. Then,

1 sa $\equiv 1 \mod m$.

2 s is unique.

Basic Number Theory

└_§3.7 Applications of Number Theory

Example

Find the inverse of 5 modulo 7.

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへぐ

└_§3.7 Applications of Number Theory

Chinese Remainder Theorem

Theorem (Chinese Remainder Theorem)

Let m_1, m_2, \dots, m_n be pairwise relatively prime positive integers, and $m = m_1 m_2 \cdots m_n$. The system

$$x \equiv a_1 \pmod{m_1}$$
$$x \equiv a_2 \pmod{m_2}$$
$$\vdots$$
$$x \equiv a_2 \pmod{m_2}$$

$$x \equiv a_n \pmod{m_n}$$

has a unique solution modulo m.

Basic Number Theory

└_§3.7 Applications of Number Theory

Solutions

• Let
$$M_k = m/m_k$$
 for $k = 1, 2, \cdots$, n .

- Since $gcd(m_k, M_k) = 1$, we can find y_k such that $M_k y_k \equiv 1 \mod m_k$ for $k = 1, 2, \cdots, n$.
- Let $x \equiv a_1 M_1 y_1 + a_2 M_2 y_2 + \cdots + a_n M_n y_n \mod m$.

- Note that $M_j \equiv 0 \mod m_k$ whenever $j \neq k$.
- We have $x \equiv a_k M_k y_k \equiv a_k \mod m_k$.

└_§3.7 Applications of Number Theory

Example

Find the solution of the system

$$x \equiv 2 \pmod{3}$$

$$x \equiv 3 \pmod{5}$$

$$x \equiv 2 \pmod{7}$$

Solution

$$m = 3 \cdot 5 \cdot 7$$

$$M_1 = m/3 = 35, y_1 \equiv (M_1)^{-1} \equiv 2 \pmod{3}$$

$$M_2 = m/5 = 21, y_2 \equiv (M_2)^{-1} \equiv 1 \pmod{5}$$

$$M_3 = m/7 = 15, y_3 \equiv (M_3)^{-1} \equiv 1 \pmod{7}$$

$$x = 2 \cdot 35 \cdot 2 + 3 \cdot 21 \cdot 1 + 2 \cdot 15 \cdot 1 = 233 \equiv 23 \pmod{105}.$$

◆□ > ◆□ > ◆ 三 > ◆ 三 > 三 の < ⊙

Basic Number Theory

└_§3.7 Applications of Number Theory

Variations of CRT

Example

Find the solution of the system

$$x \equiv 2 \pmod{3}$$

$$x \equiv 3 \pmod{5}$$

$$x \equiv 2 \pmod{7}$$

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

Basic Number Theory

└_§3.7 Applications of Number Theory

Fermat's Little Theorem

Theorem

If p is prime and a is an integer not divisible by p, then

$$a^{p-1} \equiv 1 \pmod{p}.$$

Furthermore, for every integer a we have

 $a^p \equiv a \pmod{p}.$

Basic Number Theory

└_§3.7 Applications of Number Theory

RSA Systems

Choose two large prime p and q.

• n = pq: modulus

• e: encryption key which is coprime to (p-1)(q-1)

• d: decryption key such that $de \equiv 1 \mod (p-1)(q-1)$

- M: message
- RSA encryption:
 - $C \equiv M^e \mod n$: ciphertext (the encrypted message)

RSA decryption:

• $M \equiv C^d \mod n$

└_§3.7 Applications of Number Theory

Example

Here is an example of RSA.

• Let
$$p = 43$$
, $q = 59$, and $n = pq = 2537$.

■
$$gcd(13, (p-1)(q-1)) = gcd(13, 42 \times 58) = 1.$$

■ $d = e^{-1} mod(p-1)(q-1)$

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

- Assume *M* = 1819
- Encryption: $C \equiv M^e \mod n$

•
$$C = 1819^{13} \mod 2537 = 2081$$
.

- Decryption: $M \equiv C^d \mod n$
- $M = 2081^{937} \mod 2537 = 1819$.

Basic Number Theory

└_§3.7 Applications of Number Theory

Why Does It Work?

Correctness

• $C^d \equiv (M^e)^d = M^{de} = M^{1+k(p-1)(q-1)} \pmod{n}.$

By Fermat's Little Theorem, we have

•
$$C^d \equiv M \cdot (M^{p-1})^{k(q-1)} \equiv M \cdot 1 \equiv M \pmod{p}$$
.
• $C^d \equiv M \cdot (M^{q-1})^{k(p-1)} \equiv M \cdot 1 \equiv M \pmod{q}$.

By Chinese Remainder Theorem, we have

•
$$C^d \equiv M \pmod{n}$$
.

The factor decomposition is a hard problem

└_§3.7 Applications of Number Theory

Public Key System

- Make n and e public. (e is call public key and d is call private key.)
- A wants to send a secret message to B
 - A uses B's public key to encrypt the message and then sends the ciphertext to B.
 - After B receives the ciphertext, he can use his own private key to decrypt the ciphertext.
- A wants to send a message to B and prove his identity
 - A first generates a hash value from the message and encrypts the hash value by his own private key and then sends the plaintext message and the encrypted hash value to B.
 - After B receives the message, he decrypts the hash value by A's public key. Besides, he also generates a hash value from the plaintext message. If both match, it proves the message comes from A.