



# Lecture 4: RISC-V Instruction Set, Part 3

## **CS10014 Computer Organization**

Department of Computer Science

Tsung Tai Yeh

Thursday: 1:20 pm– 3:10 pm

Classroom: EC-022



# Acknowledgements and Disclaimer

- Slides were developed in the reference with
  - CS 61C at UC Berkeley
    - <https://inst.eecs.berkeley.edu/~cs61c/sp23/>
  - CS 252 at UC Berkeley
    - <https://people.eecs.berkeley.edu/~culler/courses/cs252-s05/>
  - CSCE 513 at University of South Carolina
    - <https://passlab.github.io/CSCE513/>



# Outline

- Branch instructions
- Bitwise/Logical instructions
- Loops in assembly
- Inequality in RISC-V
- Functional calling



# C Decisions: if Statements

- 2 kinds of if statements in C
  - `if (condition) clause`
  - `if (condition) clause1 else clause2`
- Rearrange 2<sup>nd</sup> if into following:

```
if (condition) goto L1;  
clause2;  
goto L2;  
L1: clause1;  
L2:
```



# RISC-V Decision Instructions

- **Conditional branches**
- Decision instruction in RISC-V
  - `beq register1, register2, L1`
  - `beq` is “Branch if (registers are) equal”  
`if (register1 == register2) goto L1`
- Complementary RISC-V decision instruction
  - `bne register1, register2, L1`
  - `bne` is “Branch if (registers are) not equal”  
`if (register1 != register2) goto L1`



# RISC-V Goto Instruction

- **Unconditional branches**

`j label`

- Call a jump instruction

- Jump (or branch) directly to the given label without needing to satisfy any condition
- Same meaning as (using C)
  - `goto label`
- Technically, it's the same as
  - `beq $x0, $x0, label`

Since it always satisfies the condition



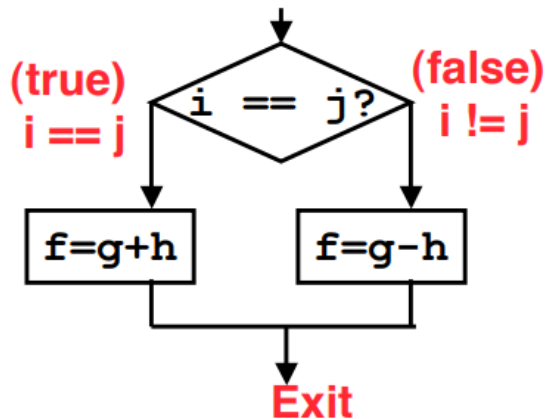
# Compiling C if into RISC-V (1/2)

- **Compile by hand**

```
if (i == j) f=g+h;  
else f=g-h;
```

- **Use this mapping:**

```
f: $s0  
g: $s1  
h: $s2  
i: $s3  
j: $s4
```

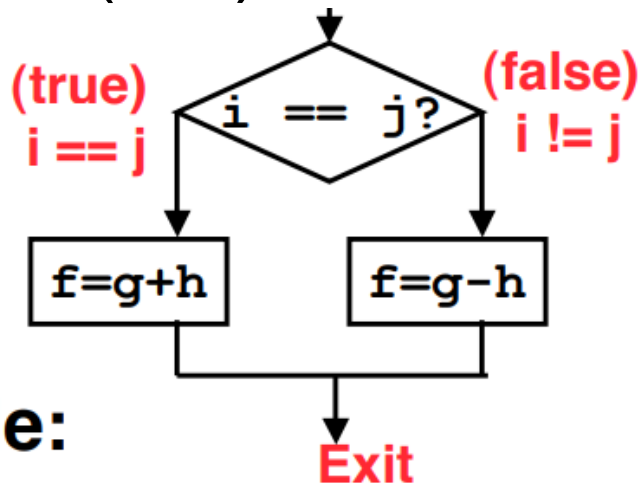




# Compiling C if into RISC-V (1/2)

- **Compile by hand**

```
if (i == j) f=g+h;  
else f=g-h;
```



- **Final compiled RISC-V code:**

```
      beq  $s3, $s4, True    # branch i==j  
      sub  $s0, $s1, $s2    # f=g-h (false)  
      j    Fin              # goto Fin  
True:  add  $s0, $s1, $s2    # f=g+h (true)  
Fin:
```





# Summary

- A decision allows us to decide what to execute at run-time rather compile-time
- C decision are made using **conditional statements** within if, while, do while, for
- RISC-V decision making instructions are **conditional branches**: beq and bne



# Outline

- Branch instructions
- **Bitwise/Logical instructions**
- Loops in assembly
- Inequality in RISC-V
- Functional calling



# Bitwise Operations

- New Perspective: View register as 32 raw bits rather than as a single 32-bit number
- Registers are composed of 32 bits
- We many want to access individual bits (or groups of bits) rather than the whole
- Introduce two new classes of instructions:
  - Logical & Shift Ops



# Logical Operators (1/3)

- Two basic logical operators
  - AND: outputs 1 only if **both** inputs are 1
  - OR: outputs 1 if **at least one** input is 1
- Truth Table

<b>A</b>	<b>B</b>	<b>A AND B</b>	<b>A OR B</b>
<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>
<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>
<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>



# Logical Operators (2/3)

- Logical Instruction Syntax
  - 1 2, 3, 4
  - 1) operation name
  - 2) register that will receive value
  - 3) first operand (register)
  - 4) second operand (register) or immediate constant
- Instruction Names:
  - and, or: Both of these expect the third argument to be a register
  - andi, ori: Both of these expect the third argument to be an immediate



# Logical Operators (3/3)

- Note: a->s1, b->s2, c->s3

Instruction	C	RISCV
And	<code>a = b &amp; c;</code>	<code>and s1, s2, s3</code>
And Immediate	<code>a = b &amp; 0x1;</code>	<code>andi s1, s2, 0x1</code>
Or	<code>a = b   c;</code>	<code>or s1, s2, s3</code>
Or Immediate	<code>a = b   0x5;</code>	<code>ori s1, s2, 0x5</code>
Exclusive Or	<code>a = b ^ c;</code>	<code>xor s1, s2, s3</code>
Exclusive Or Immediate	<code>a = b ^ 0xF;</code>	<code>xori s1, s2, 0xF</code>



# Uses for Logical Operators (1/2)

- anding a bit with 0 produces a 0 at the output
- anding a bit with 1 produces the original bit
- This can be used to create a **mask** (`andi $t0, $t0, 0xFF`)

```
1011 0110 1010 0100 0011 1101 1001 1010  
mask: 0000 0000 0000 0000 0000 0000 1111 1111
```

The result of anding this:

```
0000 0000 0000 0000 0000 0000 1001 1010
```

Mask the last 8 bits



## Uses for Logical Operators (2/2)

- “**oring**” a bit with 1 produces a 1 at the output
- “**anding**” a bit with 0 produces the original bit
- This can be used to force certain bits of a string to 1s
  - For example, if \$t0 contains `0x12345678`, then after this instruction  
`ori $t0, $t0, 0xFFFF`
  - ...\$t0 contains `0x1234FFFF`
    - E.g. the high-order 16-bits are untouched, while the low-order 16 bits are forced to 1s





# Shift Instruction (1/4)

- Move (shift) all the bits in a word to the left or right by a number of bits

- **Example: shift right by 8 bits**

0001 0010 0011 0100 0101 0110 0111 1000



- **Example: shift left by 8 bits**

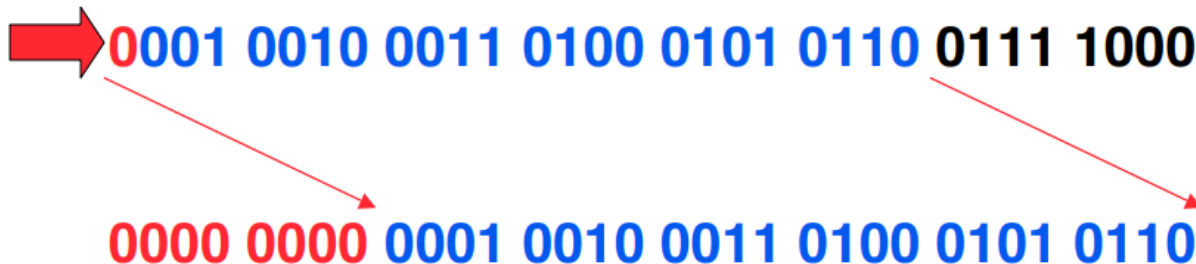
0001 0010 0011 0100 0101 0110 0111 1000





# Shift Instruction (2/4)

- Shift right arith by 8 bits



- **Example: shift right arith by 8 bits**





# Shift Instruction (3/4)

- Shift Instruction Syntax:

- 1 2, 3, 4
- 1) operation name
- 2) register that will receive value
- 3) first operand (register)
- 4) shift amount (constant < 32)

```
a *= 8; (in C)  
would compile to:  
sll    $s0, $s0, 3
```

- Since shifting may be faster than multiplication, a good compiler usually notices when C code multiplies by a power of 2 and compiles it to a shift instruction



# Shift Instruction (4/4)

- **sra** (shift right arithmetic): Shifts right and **fills emptied bits by sign extending**

Instruction Name	RISC-V
Shift Left Logical	<code>sll s1, s2, s3</code>
Shift Left Logical Imm	<code>slli s1, s2, imm</code>
Shift Right Logical	<code>srl s1, s2, s3</code>
Shift Right Logical Imm	<code>srlui s1, s2, imm</code>
Shift Right Arithmetic	<code>sra s1, s2, s3</code>
Shift Right Arithmetic Imm	<code>sraui s1, s2, imm</code>



# Outline

- Branch instructions
- Bitwise/Logical instructions
- **Loops in assembly**
- Inequality in RISC-V
- Functional calling



# Loops in C/Assembly (1/3)

- Simple loop in C; A[ ] is an array of ints

```
do {  
    g = g + A[i];  
    i = i + j;  
} while (i != h);
```

- Rewrite this as

```
Loop: g = g + A[i];  
      i = i + j;  
      if (i != h) goto Loop;
```

g, h, i, j, base of A  
\$s1, \$s2, \$s3, \$s4, \$s5



# Loops in C/Assembly (2/3)

- Final compiled RISC-V code:

```
Loop: sll $t1, $s3, 2    # $t1 = 4*i
      add $t1, $t1, $s5  # $t1 = addr A
      lw  $t1, 0($t1)   # $t1 = A[i]
      add $s1, $s1, $t1  # g = g + A[i]
      add $s3, $s3, $s4  # i = i + j
      bne $s3, $s2, Loop # goto Loop
                          # if i != h
```

- Original code

```
Loop: g = g + A[i];
      i = i + j;
      if (i != h) goto Loop;
```



# Loops in C/Assembly (3/3)

- There are three types of loops in C
  - While
  - do ... while
  - for
- Each can be rewritten as either of the other two, so the method used in the previous example can be applied to “while” and “for” loops as well





# Outline

- Branch instructions
- Bitwise/Logical instructions
- Loops in assembly
- **Inequality in RISC-V**
- Functional calling



# Inequalities in RISC-V (1/2)

- General programs need to test “<” and “>” as well
- Create a RISC-V Inequality instruction
  - Set on Less Than
  - Syntax: `slt reg1, reg2, reg3`
  - Meaning: `reg1 = (reg2 < reg3)`

```
if (reg2 < reg3)
    reg1 = 1;
else reg1 = 0;
```



## Inequalities in RISC-V (2/2)

- For example
  - `if (g < h) goto Less; #g:$s0, h:$s1`
- RISC-V code

```
slt $t0,$s0,$s1 # $t0 = 1 if g<h
bne $t0,$0,Less # goto Less
                # if $t0!=0
                # (if (g<h)) Less:
```

- Branch if `$t0 != 0` -> `(g < h)`
- Register `$0/$x0` always contains the value 0, so “bne” and “beq” often use it for comparison after an “slt” instruction
- A `slt` -> `bne` pair means `if (... < ...) goto...`



# Immediates in Inequalities

- There is an immediate version of `slt` to test against constants: `slti`

```
if (g >= 1) goto Loop
```

---

```
Loop: . . .
```

```
slti $t0,$s0,1      # $t0 = 1 if  
                    # $s0<1 (g<1)  
beq  $t0,$0,Loop   # goto Loop  
                    # if $t0==0  
                    # (if (g>=1))
```

- A `slti` -> `beq` pair means `if (... ≥ ...) goto...`



# What about unsigned numbers?

- **Unsigned** inequality instructions: sltu, sltiu
  - Which sets results to 1 or 0 depending on unsigned comparisons

## • What is value of \$t0, \$t1?

(`$s0 = FFFF FFFAhex`, `$s1 = 0000 FFFAhex`)

`slt $t0, $s0, $s1`

`sltu $t1, $s0, $s1`



# RISC-V Signed vs. Unsigned

- RISC-V Signed vs. Unsigned is an “overloaded” term
  - **Do/Don't sign extend**  
(lb, lbu)
  - **Don't overflow**  
(addu, addiu, subu, multu, divu)
  - **Do signed/unsigned compare**  
(slt, slti/sltu, sltiu)



# Summary

- To help the conditional branches
- Make decision concerning inequalities
- We introduce a single instruction  
“Set on Less Than” called slt, slti, sltu, sltiu



# Takeaway Questions

## C Code:

```
if(i<j) {  
    a = b /* then */  
} else {  
    a = -b /* else */  
}
```

## In English:

- If TRUE, execute the THEN block
- If FALSE, execute the ELSE block

## RISCV (???):

```
# i→s0, j→s1  
# a→s2, b→s3
```

```
slt t0 s0 s1
```

```
??? t0,??? else
```

What is ???

```
then:
```

```
add s2, s3, x0
```

```
j end
```

```
else:
```

```
sub s2, x0, s3
```

```
end.
```





# Takeaway Questions

- What C code properly fills in the following blank?
  - (A) `j >= 2 && j < i`
  - (B) `j < 2 || j < i`
  - (C) `j < 2 && j >= i`

```
do {i--; } while((z = _____));
```

---

Loop:

```
addi    s0, s0, -1
slti    t0, s1, 2
bne     t0, x0, Loop
slt     t0, s1, s0
bne     t0, x0, Loop
```

---



# Takeaway Questions

- What C code properly fills in the following blank?
  - (A)  $j \geq 2 \ \&\& \ j < i$
  - (B)  $j < 2 \ || \ j < i$
  - (C)  $j < 2 \ \&\& \ j \geq i$

```
do {i--; } while((z = _____));
```

---

```
Loop:          # i→s0, j→s1
addi   s0, s0, -1    # i = i - 1
slti   t0, s1, 2     # t0 = (j < 2)
bne    t0, x0, Loop  # goto Loop if t0!=0
slt    t0, s1, s0    # t1 = (j < i)
bne    t0, x0, Loop  # goto Loop if t0!=0
```

---



# Outline

- Branch instructions
- Bitwise/Logical instructions
- Loops in assembly
- Inequality in RISC-V
- **Functional calling**



# Calling Conventions

- CalleR: the calling function
- CalleE: the function being called
  - E.g. **Alice** is **caller** and **Bob** is **callee**
- What instructions can accomplish the functional call?

```
void Alice () {  
    Bob ();  
}
```



# Function Call Bookkeeping

- Registers play a major role in keeping track of information for function call
- Register conventions**
  - Return address** \$ra
  - Arguments** \$a0 - \$a7
  - Return value** \$s0 - \$s1
  - Local variables** \$t0 - \$t6
- The stack is also used; more later

x0	zero	zero	x15	a5	function arguments
x1	ra	return address	x16	a6	
x2	sp	stack pointer	x17	a7	
x3	gp	global data pointer	x18	s2	saved (callee save)
x4	tp	thread pointer	x19	s3	
x5	t0	<b>temps (caller save)</b>	x20	s4	
x6	t1				
x7	t2				
x8	s0/fp	frame pointer	x21	s5	
x9	s1	<b>saved (callee save)</b>	x22	s6	
x10	a0	function args or return values	x23	s7	
x11	a1				
x12	a2	function arguments	x24	s7	
x13	a3		x25	s9	
x14	a4		x26	s10	
			x27	s11	
			x28	t3	<b>temps (caller save)</b>
			x29	t4	
			x30	t5	
			x31	t6	



# Instruction Support for Functions (1/6)

C code

```
... sum(a,b);... /* a,b:$s0,$s1 */  
}  
int sum(int x, int y) {  
    return x+y;  
}
```

RISC-V

address

1000

1004

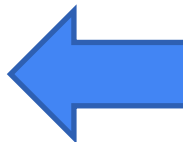
1008

1012

1016

2000

2004



In RISC-V 32, all instructions are 4 bytes (32-bits), and stored in memory just like data. Here we show the addresses of where the programs are stored.



# Instruction Support for Functions (2/6)

C code

```

... sum(a,b);... /* a,b:$s0,$s1 */
}
int sum(int x, int y) {
    return x+y;
}

```

- Jump (j)
  - j label
- Jump Register (jr)
  - jr src

RISC-V

address

1000	add	\$a0, \$s0, \$x0	# x = a
1004	add	\$a1, \$s1, \$x0	# y = b
1008	addi	\$ra, \$x0, 1016	# \$ra = 1016
1012	j	sum	# jump to sum
1016	...		
2000	sum:	add \$t0, \$a0, \$a1	
2004	jr	\$ra	



# Instruction Support for Functions (3/6)

C code

```
... sum(a,b); ... /* a,b:$s0,$s1 */  
}  
int sum(int x, int y) {  
    return x+y;  
}
```

---

Question: Why use **jr** here? Why not simply use **j**?

Answer: “**sum**” might be called by many functions, so we can’t return to a fixed place. The calling proc to “**sum**” must be able to say “return here”.

RISC-V

```
...  
2000    sum:    add    $t0, $a0, $a1  
2004    jr     $ra
```





# Instruction Support for Functions (4/6)

- **Jump and link (jal)**

- Single instruction to jump and save the return address

**Before:**

```
1008          add    $ra, $x0 $1016          # $ra = 1016
1012          j      sum                    # goto sum
```

**After:**

```
1008          jal    sum
```

Why have a jal?

**jal** moves a new value into the PC and simultaneously saves the old value in register x1 (\$ra) can get back from the subroutine to the instruction immediately following the jump by transferring control back to PC in register x1



# Instruction Support for Functions (5/6)

- **Jump and link (jal)**
  - **jal label**
  - Behaves like the simple jump instruction (j), but also stores a return address in register 31 (\$ra)
  - **Step 1 (link):**
    - Save the address of **next** instruction into \$ra
    - The next instruction (PC + 4)
    - Why the next instruction? Why not the current one?
  - **Step 2 (jump)**
    - Jump to the given label



# Instruction Support for Functions (6/6)

- **Jump Register (jr)**
  - `jr src`
  - Instead of providing a label to jump to, the `jr` instruction provides a register that contains an address to jump to
  - Only useful if we know the exact address to jump to
  - Very useful for function calls:
    - `jal` stores return address in register
    - `jr $ra` jumps back to that address



# Nested Procedures (1/2)

- **sumSquare** nested procedure
  - sumSquare is calling mult
  - There is a value in \$ra that sumSquare wants to jump back to, but the call to mult will overwrite this
  - Need to save sumSquare return address before call to mult

```
int sumSquare(int x, int y) {  
    return mult(x,x) + y;  
}
```

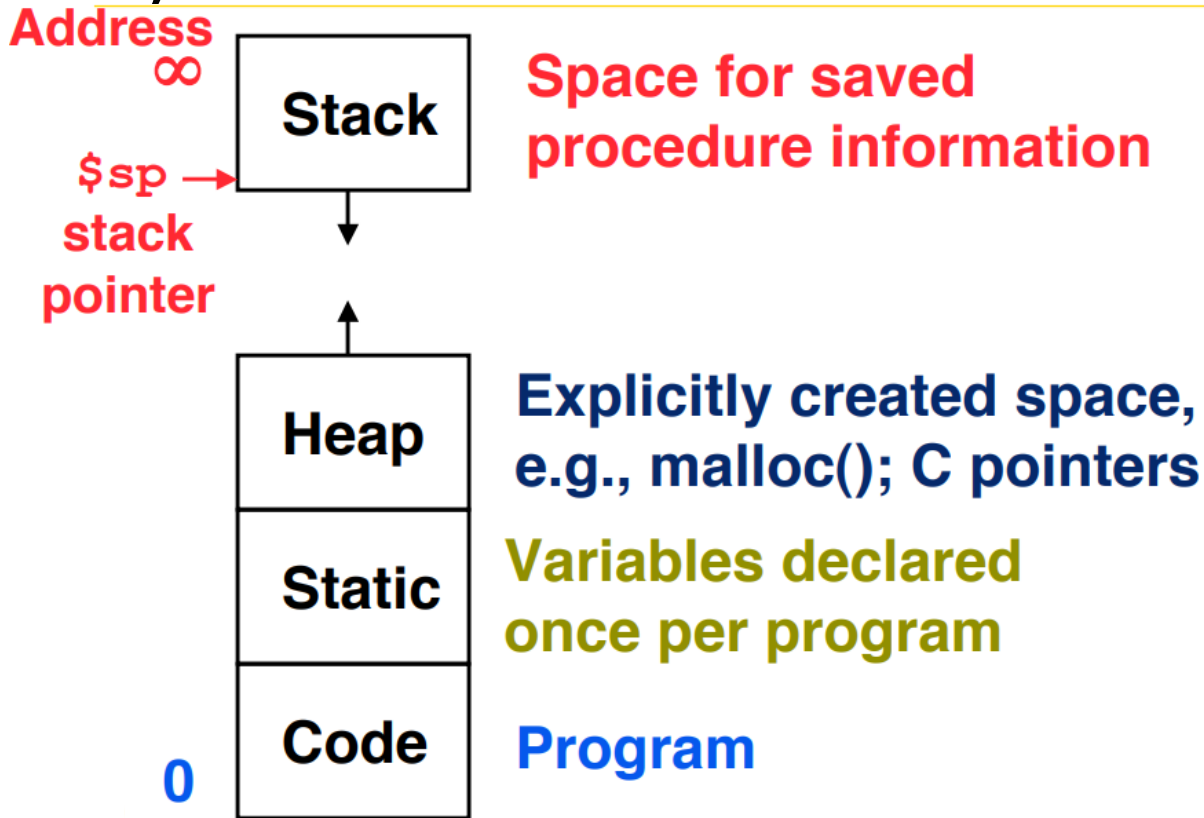


## Nested Procedures (2/2)

- In general, you may need to save some other info in addition to \$ra
- When a C program is run, there are 3 important memory area memory areas allocated
  - **Static:** Variables declared once per program, cease to exist only after execution completes. E.g. C globals
  - **Heap:** Variables declared dynamically. E.g. malloc()
  - **Stack:** Space to be used by procedure during execution; this is where we can save register values



# C Memory Allocation Review





## Using the Stack (1/2)

- We have a register \$sp which always points to the last used space in the stack
- To use the stack, we decrement this pointer by the amount of space we need and then fill it with info.
- How do we compile this?

```
int sumSquare(int x, int y) {  
    return mult(x,x) + y;  
}
```



# Using the Stack (2/2)

```
int sumSquare(int x, int y) {  
    return mult(x,x)+ y;  
}
```

**sumSquare:**

```
“push” addi    $sp,    $sp,    -8        #space on stack  
        sw      $ra,    4($sp)        #save ret addr  
        sw      $a1,    0($sp)        #save y  
  
        add    $a1,    $a0,    $x0     # mult(x, x)  
        jal    mult                                # call mult  
  
        lw     $a1,    0($sp)          # restore y  
        add    $a0,    $a0,    $a1     # mult() + y  
“pop”  lw     $ra,    4($sp)          # get ret addr  
        addi   $sp,    $sp,    8       # restore stack  
        jr     $ra
```

**mult: ...**





# Steps for Making a Procedure Call

- (1) Save necessary values onto the stack
- (2) Assign argument(s), if any
- (3) jal call
- (4) Restore values from stack



# Rules for Procedures

- Call with a jal instruction, returns with a jr \$ra
- Accepts up to 8 arguments in \$a0 - \$a7
  - Any more arguments should be passed on the stack
- Return value is always in \$s0 (and if necessary in \$s1)



# Basic Structure of a Function

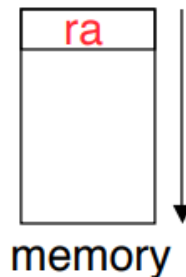
## *Prologue*

```
entry_label:  
addi $sp,$sp, -framesize  
sw $ra, framesize-4($sp) # save $ra  
save other regs if need be
```

**Body ... (call other functions...)**

## *Epilogue*

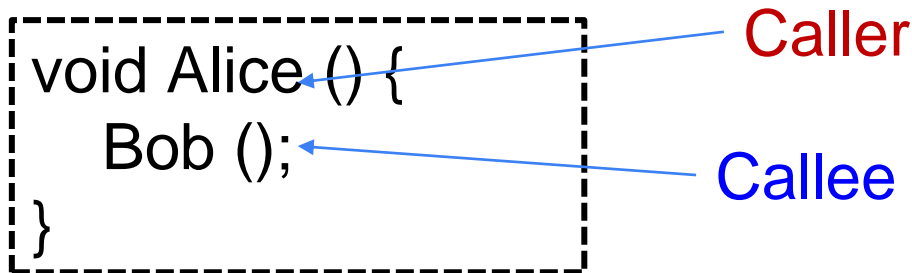
```
restore other regs if need be  
lw $ra, framesize-4($sp) # restore $ra  
addi $sp,$sp, framesize  
jr $ra
```





# Register Conventions (1/3)

- When callee returns from executing, the caller needs to know which register may have changed and which are guaranteed to be unchanged
- Register conventions
  - A set of generally accepted rules as to which registers will be unchanged after a procedure call (jal) and which may be changed





## Register Conventions (2/3) -- Saved

- **\$x0**: No Change. Always 0
- **\$s0 - \$s7**: **Restore if you change**
  - That's why they're called save registers. If the callee changes these in any way, it must restore the original values before returning
- **\$sp**: **Restore if you change**
  - The stack pointer must point to the same place before and after the "jal" call, or else the caller won't be able to restore values from the stack
- **All saved register start with S!**



# Register Conventions (3/3) -- Volatile

- **\$ra: Can Change**
  - The jal call itself will change this register. Caller needs to save on the stack if nested call
- **\$a0 - \$a1: Can Change**
  - These will contain the new return values
- **\$t0 - \$t6: Can Change**
  - That's why they're called temporary; any procedure may change them at any time. Caller needs to save if they will need them afterwards



# Summary

- Functions called with jal, return with jr \$ra
- Use the stack to save anything you need. Just be sure to leave it the way you found it
- Instructions we know so far
  - **Arithmetic:** add, addi, sub, addu, addiu, subu
  - **Memory:** lw, sw
  - **Decision,** beq, bne, slt, slti, sltu, sltiu
  - **Unconditional branches (Jumps):** j, jal, jr



# Summary

- Registers we know so far

The Constant 0	<b>\$x0</b>	<b>\$zero</b>
Return address	<b>\$x1</b>	<b>\$ra</b>
Stack pointer	<b>\$x2</b>	<b>\$sp</b>
Global data pointer	<b>\$x3</b>	<b>\$gp</b>
Thread pointer	<b>\$x4</b>	<b>\$tp</b>
Temporary	<b>\$x5-\$x7</b>	<b>\$t0-t2</b>
Frame pointer	<b>\$x8</b>	<b>\$s0/\$fp</b>
Saved	<b>\$x9</b>	<b>\$s1</b>





# Summary

- Registers we know so far

Return values/Arguments	<b>\$x10-\$x11</b>	<b>\$a0-\$a1</b>
Function Arguments	<b>\$x12-x17</b>	<b>\$a2-a7</b>
Saved	<b>\$x18-x27</b>	<b>\$s2-\$s11</b>
Temporary	<b>\$x28-x31</b>	<b>\$t3-\$t6</b>