

# Accelerator Architectures for Machine Learning (AAML)

#### Lecture 2: Basics of DNN Models

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#### Acknowledgements and Disclaimer

 Slides was developed in the reference with Joel Emer, Vivienne Sze, Yu-Hsin Chen, Tien-Ju Yang, ISCA 2019 tutorial

Efficient Processing of Deep Neural Network, Vivienne Sze, Yu-Hsin Chen, Tien-Ju Yang, Joel Emer, Morgan and Claypool Publisher, 2020 Yakun Sophia Shao, EE290-2: Hardware for Machine Learning, UC Berkeley, 2020

CS231n Convolutional Neural Networks for Visual Recognition,

Stanford University, 2020



#### Outline

#### Convolution Neural Network

- Residual network
- Depthwise convolution
- Transformer Model Architecture
  - Encoder-decoder based model
  - Large Language Models



#### Deep convolutional neural networks

- Each neuron only sees a "local receptive field"
  - 5 x 5 grid of neurons in this example
  - The first neuron is looking for feature in the top-left 5x5 corner of the image
  - Combines the 25 inputs with 25 synaptic weights to decide its output
  - The set of 5x5 weights as a "filter"







#### Deep convolutional neural networks

#### Convolution

- Applying a 5x5 filter (kernel) to each part of the image
- All the neurons are sharing the same set of 25 weights (plus bias)
- Why do we create small size filter ?
  - The small local receptive field and the use of shared weights can help for slow learning rate in early layers of the network







### **Convolutional Computation Details**

#### Convolution

- Sliding dot product or cross-correlation
- Convoluting a 5x5x1 image with a 3x3x1 filter kernel to get a 3x3x1 convoluted feature







#### **CNN** Dimension Parameters

- N Number of input fmaps/output fmaps (batch size)
- C Number of 2D input fmaps/filters (channels)
- H Height of input fmap (activations)
- W Width of input fmap (activations)
- R Height of 2D filter (weights)
- S Width of 2D filter (weights)
- M Number of 2D output fmaps (channels)
- F Width of output fmap (activations)
- E Height of output fmap (activations)



#### **CONV** Layer Tensor Computation



$$0 \le n \le N, 0 \le m \le M, 0 \le y \le E, 0 \le x \le F$$
  
$$E = (H - R + U)/U, F = (W - S + U)/U$$

Shape Parameter	Description
Ν	fmap batch size
М	# of filters or # of output fmap channels
С	# of input fmap or # of filter channels
U	Convolution stride



#### **CONV** Layer Implementation





#### **CONV** Layer Parallel Implementation





#### Convolution (CONV) Layer



Many Input Channels (C), e.g. RGB in an image







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#### **Convolution (CONV) Layer**



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Many fmaps (N)



# Pooling

#### Pooling

- Once a feature has been found, its's exact location isn't as important as its relative location – help us reduce the parameters
- Further reduce the network, say reduce 4 neurons into a single one





### **POOL Layer Implementation**





#### **GoogleNet Inception Architecture**

- 22 layers
- Fully-Connected Layers: 1
- Weights: 7.0 M (< VGG(19.7X) AlexNet(8.7X))
- MACs: 1.4G

#### ILSCVR14 Winner GoogleNet is used to classify images GoogleNet top-5 error rate is 6.67%

over VGG 7.3%





#### What's New in GoogleNet?

#### • 1 x 1 CONV filter (why?)

- Decrease the number of parameters (weights and biases)
- Increase the depth of the network



Case 1: 5x5 filter, # of filter = 48 Total MACs: (14x14x48)x(5x5x480) = **112.9M** 

Case 2: 1x1 filter, # of filter = 16 as intermediate Total MACs: (14x14x16)x(1x1x480) + (14x14x48)x(5x5x16) = 5.3M

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https://www.geeksforgeeks.org/understanding-googlenet-model-cnn-architecture/



## What's New in GoogleNet ?

- Inception module
  - A local network topology (network within a network) What'
  - Stack modules on top of each inception modules
     other
  - Multiple receptive field sizes for CONV (1x1, 3x3, 5x5)
  - Pooling operation (3x3)
  - Depth-wise filter concatenation





#### GoogleNet Inception Module Problems?

• What is the output size of 1x1 conv, with 128 filters?





# GoogleNet Inception Module Problems?



#### CONV Ops:

[1x1 conv, 128] 28x28x128x1x1x256 [3x3 conv, 192] 28x28x192x3x3x256 [5x5 conv, 96] 28x28x96x5x5x256 **Total: 854 M ops** 

Very expensive compute

Solution: "bottleneck" layers that use 1x1 convolutions to reduce feature depth



#### **Dimension Reduction on GoogleNet**





#### GoogleNet 1x1 Bottleneck Layer Ops



#### Conv Ops:

[1x1 conv, 64] 28x28x64x1x1x256 [1x1 conv, 64] 28x28x64x1x1x256 [1x1 conv, 128] 28x28x128x1x1x256 [3x3 conv, 192] 28x28x192x3x3x64 [5x5 conv, 96] 28x28x96x5x5x64 [1x1 conv, 64] 28x28x64x1x1x256 **Total: 358 M ops** 

Naïve version has **854M ops** Bottleneck layer can reduce ops using dimension reduction



#### **ResNet Model Overview**

- 152-layer model for ImageNet Classification
- ILSVRC'15 winner (3.57% top-5 error)
- Using residual blocks
   and connections

How to train the data in ULTRA-DEEP network (over 1000 layers)?





#### Deep Network Training Problems on ResNet

Training and test error are increased with the length of networks 



What is wrong when increasing the length of networks?

- Deeper model performs worse on both training and test error (overfitting?)



#### Deep Network Training Problems on ResNet

- Why overfitting isn't the main reason to increase error rate of 56-layer?
- Hypothesis: vanishing gradient raises error rate of ultra-deep networks?
  - Solution: Add layers to fit a residual mapping instead of fitting a desired underlying mapping directly (skipping connection)(What?)





### Deep Network Training Problems on ResNet

 Solution: Add layers to fit a residual mapping instead of fitting a desired underlying mapping directly





#### **Bottleneck Layer on ResNet**

ResNet50+ also uses
 "bottleneck" layer
 to improve efficiency
 for deep networks
 (similar to GoogleNet)





#### **ResNet Model Details**

- Full ResNet architecture
  - Stack residual blocks
  - Every residual block has two 3x3 conv layers
  - Periodically, double the number of filters and down-sample using stride 2 (/2 in each dimension)
  - Additional conv layer at the beginning
  - Only FC 1000 to output class
  - Total depths of 34, 50, 101 or 152 layers for ImageNet







#### MobileNet v1: Depthwise Separable CONV

- Decouple cross-channel correlations and spatial correlations in the feature maps
- How to reduce # of parameters? [Andrew et. al. arxiv, 2017]





#### What is Depthwise Separable Convolution ?

- **Purpose**: Reduce the amount of CONV computation
- **Input:** W\_in \* H\_in \* Nch (# of channels)
- Kernel: k \* k \* Nk (# of kernels)
- Output: W\_out \* H\_out \* Nk (# of kernels)





#### Depthwise Convolution

- Each channel of inputs has a k \* k kernel
- Separate the convolution of each channel
- **Difference:** Every kernel convolves with all channels in standard CONV





#### **Pointwise Convolution**

- The number of kernel: Nk with (1 \* 1 \* Nch) size
- Do CONV on the outputs of depthwise convolution





#### Depthwise + Pointwise Convolution

#### **Depthwise convolution**

Input: W\_in \* H\_in \* Nch Nch Kernel (k \* k) Output: W\_out \* H\_out \* Nch

#### **Pointwise convolution**

Input: W\_out \* H\_out \* Nch Nk kernel = (1 \* 1 \* Nk) Output = W\_out \* H\_out \* Nk





#### Depthwise Separable Convolution

#### • Standard CONV

- Input: W\_in \* H\_in \* Nch
- Kernel: k \* k \* Nk
- Output: W\_out \* H\_out \* Nk
- Computation: W\_in \* H\_in \* Nch \* k \* k \* Nk

#### Depthwise separable convolution

- Depthwise CONV computation: W\_in \* H\_in \* Nch \* k \* k
- Pointwise CONV computation: Nch \* Nk \* W\_in \* H\_in





#### Depthwise Separable Convolution

- Depthwise separable convolution can save more computation when
  - kernel size is large
  - The number of kernel is increased
- Suppose input is 416 \* 416 \* 50, # of filter is 10, its size is 3 \* 3.
- How much computation can be saved by depthwise separable convolution ?
  - $\circ$  1/10 + 1/9 = 0.22



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#### Takeaway Questions

- What are problems in ultra-deep neural networks ?
  - (A) Over-fitting
  - (B) Gradient vanishing
  - (C) Low training accuracy
- Given a CNN model below, how many channels are in the second layer ?

(A) 4 (B) 8		Input size	# of filter	Filter size	# of channel
(C) 16	Layer1	12 x 12	4	3x3	64
	Layer2	12 x 12	16	3x3	



#### Takeaway Questions

- A standard CONV layer
  - Input: W\_in \* H\_in \* Nch = (32 \* 32 \* 16)
  - Kernel: k \* k \* Nk = (3 \* 3 \* 8)
  - Computation: W\_in \* H\_in \* Nch \* k \* k \* Nk = (32 \* 32 \* 16 \* 3 \* 3 \* 8)
- What is the amount of computation that is carried out by
  - depthwise separable convolution ?
    - (A) (32 \* 32 \* 16 \* 3 \* 3) + (3 \* 8 \* 8)
    - (B) (32 \* 32 \* 3 \* 3 \* 8) + (16 \* 3 \* 3)
    - $\circ$  (C) (32 \* 32 \* 16 \* 3 \* 3) + (16 \* 8 \* 32 \* 32)



#### Computer Architecture & System Lab

#### Classical Sequence-to-Sequence Model

- Pass the last hidden state of the encoding stage
- Decoder uses this last hidden state to do the prediction





#### Word Representation

#### One-Hot Encoding

- Representing each word as a vector that has as many values in it
- Each column in a vector is one possible word in a vocabulary
- Problem
  - In large vocabularies, these vectors can get very long
  - Contain all 0's except for one value
  - Sparse representation

~100k columns, only one 1 in each vector





#### Word Representation

#### Word Embedding

- Map the word index to a continuous word embedding through a look-up table ~300 columns
- Popular pre-trained word embeddings
  - Word2Vec, GloVe





# Positional Encoding (PE)

- Positional encoding (PE)
  - Information to each word about its position in the sentence
  - **Unique** encoding for each word's position in a sentence
  - Distance between any two positions is consistent across sentences with different lengths
  - Encode words by using **sin()**, **cos()** with different frequencies
  - **Deterministic** and **generalize** to longer sentences

$$\overrightarrow{p_t}^{(i)} = f(t)^{(i)} := egin{cases} \sin(\omega_k,t), & ext{if } i = 2k \ \cos(\omega_k,t), & ext{if } i = 2k+1 \end{cases} \qquad \omega_k = rac{1}{10000^{2k/d}}$$



# Positional Encoding (PE)

- Arguments
  - L: maximum # of possible positions
  - d<sub>model</sub>: dimension of the embeddings
  - n: can be set to any value
  - k: position
  - i: dimension

$$pos(k) = \begin{bmatrix} \sin \omega_{1} \cdot k \\ \cos \omega_{1} \cdot k \\ \sin \omega_{2} \cdot k \\ \cos \omega_{2} \cdot k \\ \vdots \\ \sin \omega_{d_{model}/2} \cdot k \\ \cos \omega_{d_{model}/2} \cdot k \end{bmatrix}_{d_{model}}$$
• For each  $k = 0$  to  $L -$ 
• For each  $i = 0$  to  $\frac{d_{model}}{2}$ 
•  $PE_{(k,2i)} = \sin(\frac{k}{n^{\frac{2i}{d_{model}}}})$ 
•  $PE_{(k,2i+1)} = \cos(\frac{k}{n^{\frac{2i}{d_{model}}}})$ 



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## Positional Encoding (PE)





- Each encoder block has two sub-layers
  - Multi-head self-attention
  - A position-wise fully connected feed-forward
- Each **decoder** block has an additional third sub-layer
  - The third is a masked multi-head attention over the output of the encoder stack
- A **residual connection** is added around each of the two sub-layers
- The decoder yields the output sequence of symbols one element at a time





#### **Transformer Model Architecture**

• Transformer encoder





Figure 1: The Transformer - model architecture.

img src: Stanford CS 231n



## Bottleneck of Sequence-to-Sequence Model

- It is challenging for the model to deal with long sentences
- Attention
  - The encoder passes all the hidden states to the decoder
  - The attention enables the decoder to focus on the word before it generates the English translation
  - This ability amplifies the signal from the relevant part of the input sentence





## Transformer Model Architecture

•  $Q = \mathbf{X} \cdot W^Q$ •  $K = \mathbf{X} \cdot W^K$ •  $V = \mathbf{X} \cdot W^V$ 

Q: query K: key V: value

Encoder – Multi-head self-attention



$$Attention(Q, K, V) = softmax(\frac{QK^{T}}{\sqrt{d_{k}}})V$$

Z<sub>0,1</sub>

Z<sub>0,2</sub>

Z<sub>2,2</sub>

...



### Transformer Model Architecture



• Encoder – Multi-head self-attention



#### Multi-head Self-attention



#### Multi-Head Self-Attention (MHSA)

- **Project** Q, K, and V with h **different** learned linear projections
- Perform the scaled dot-product attention function on each of Q, K, V in parallel
- Concatenate the output values
- **Project** the output values again, resulting in the final values

$$\begin{aligned} \text{MultiHead}(Q, K, V) &= \text{Concat}(\text{head}_1, ..., \text{head}_h) W^O \\ \text{where head}_i &= \text{Attention}(QW_i^Q, KW_i^K, VW_i^V) \end{aligned}$$





### Transformer Model Architecture

- Encoder Residual connection
  - Address exploding/vanishing gradients
  - Equation
    - F(x): output of previous layer
    - x: input of previous layer

y = x + F(x)





#### Transformer Model Architecture

- Encoder Layer normalization
  - For each input vector x = (x1 ..Xm)
    - Calculate Mean, variance
    - Normalize the vector x
      - Small ε -> avoid dividing 0
    - Scale and shift

 $\gamma, \beta$  are learnable parameters

$$\mu = \frac{\sum_{i} x_{i}}{m} \qquad \widehat{x}_{i} = \frac{(x_{i} - \mu)}{\sqrt{\sigma^{2} + \varepsilon}}$$
$$\sigma^{2} = \frac{\sum_{i} (x_{i} - \mu)^{2}}{m} \qquad y_{i} = \gamma \widehat{x}_{i} + \beta$$





- Encoder Feed Forward Network
  - Contains 2 linear transformations and 1 ReLU









- Decoder Mask self-attention
  - The output of a certain position can only depend on the words on the previous positions
  - Set alignment scores of successive position to negative infinity





#### LLaMA Model Architecture



#### img src: medium @ccibeekeoc42



#### LLaMA Model Architecture

• Why LLaMA is decoder-only model?



https://www.53ai.com/news/qianyanjishu/1539.html



- Difference between Transformer and LLaMA
  - Decoder-only model
  - Pre-Norm (root mean square (RMS) norm)
  - Rotary positional embedding (RoPE)
  - KV cache
  - Grouped multi query attention
  - SwiGLU activation function rather than ReLU in FFN



- Rotary positional embedding (RoPE)
  - Combine absolute and relative encoding
    - Absolute positional embedding
      - Assigns a unique vector to each position and doesn't scale well to capture relative position
    - Relative embeddings
      - Focuses on the distance between tokens
      - Enhance the model's understanding of token relationship
  - Rotational mechanism
    - Each position in the sequence is represented by a rotation in the embedding space



- Rotary positional embedding (RoPE)
  - RoPE applies a rotation to the word vector
  - The equation incorporates a rotation matrix that rotates a vector by an angle of M $\theta$ , where M is the absolute position in the sentence.
  - This rotation is applied to the query and key vectors in the self-attention

$$f_{\{q,k\}}(\boldsymbol{x}_m,m) = \begin{pmatrix} \cos m\theta & -\sin m\theta \\ \sin m\theta & \cos m\theta \end{pmatrix} \begin{pmatrix} W_{\{q,k\}}^{(11)} & W_{\{q,k\}}^{(12)} \\ W_{\{q,k\}}^{(21)} & W_{\{q,k\}}^{(22)} \end{pmatrix} \begin{pmatrix} \boldsymbol{x}_m^{(1)} \\ \boldsymbol{x}_m^{(2)} \end{pmatrix}$$
  
The pig chased the dog



- Root Mean Square (RMS) normalization
  - Calculate the RMS of the input vectors rather than the mean and variance
  - Efficient normalization
    - No subtracting mean before squaring



### LLaMA Model Architecture

- LLM decoder
  - The decoder works in an auto-regressive fashion
    - Given an input, the model predict the next token
    - Taking the combined input in the next step





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- Sequence mask (in decoder)
  - The decoder cannot see the message  $s(Q_{T_1} \star K_{T_1,3}) \star V_{T_{1,3}} \Rightarrow T_1'$ in the coming future
  - Use the mask to enable the decoder to only rely on the previous outputs to do the inference → training the decoder





## LLaMA Model Architecture

- The scaled dot-product attention
  - The attention of a token only depends on its preceding tokens
  - At each generation step we are recalculating the same previous token attention, when we actually just want to calculate the attention for the new token





https://medium.com/@joaolages/kv-caching-explained-276520203249

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#### LLaMA Model Architecture

- Key-Value (KV) cache
  - By caching the previous Keys and Values, we can focus on only calculating the attention for the new token.



https://medium.com/@joaolages/kv-caching-explained-276520203249

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- Key-Value (KV) cache
  - LLM models can generate only one token at a time
  - Each new prediction is dependent on the previous context
    - To predict token number 1000 in the generation, you need information from the previous 999 tokens
  - Optimize the sequential generation process by storing previous calculations to reuse in subsequent tokens, so they don't need to be computed again.





#### LLaMA Model Architecture

• KV cache









- Key-Value (KV) cache
  - The matrices obtained with KV caching are way smaller, which leads to faster matrix multiplications
  - The downside of the KV cache is
    - When the length of sequences is becoming long
    - Needs the large memory to cache the Key and Value states



## LLaMA Model Architecture

- SwiGLU (Swish and Gated Linear Unit)
  - LLU such as PALM and LLAMA use SwiGLU in FFN rather than the usual ReLU -> SwiGLU tackles minus value better than ReLU

 $FFN_{SwiGLU}(x, W, V, W_2) = (Swish_1(xW) \otimes xV)W_2,$ 





#### Takeaway Questions

- What's problem the "Attention" aiming to solve?
  - (A) Gradient vanishing
  - (B) Message passing in the long sequence of data
  - (C) Over-fitting
- What are benefits of the "Transformer" ?
  - (A) Large hidden layer
  - (B) The amount of computation is small
  - (C) More data parallelism



#### Takeaway Questions

- How does the "self-attention" help the encoder?
  - (A) Looking at other works in the input sentence
  - (B) Memorizing the more messages within a network
  - (C) Focus on a specific word