

計概補充

Greedy Algorithm, Dynamic Programming Algorithm

蔡文能

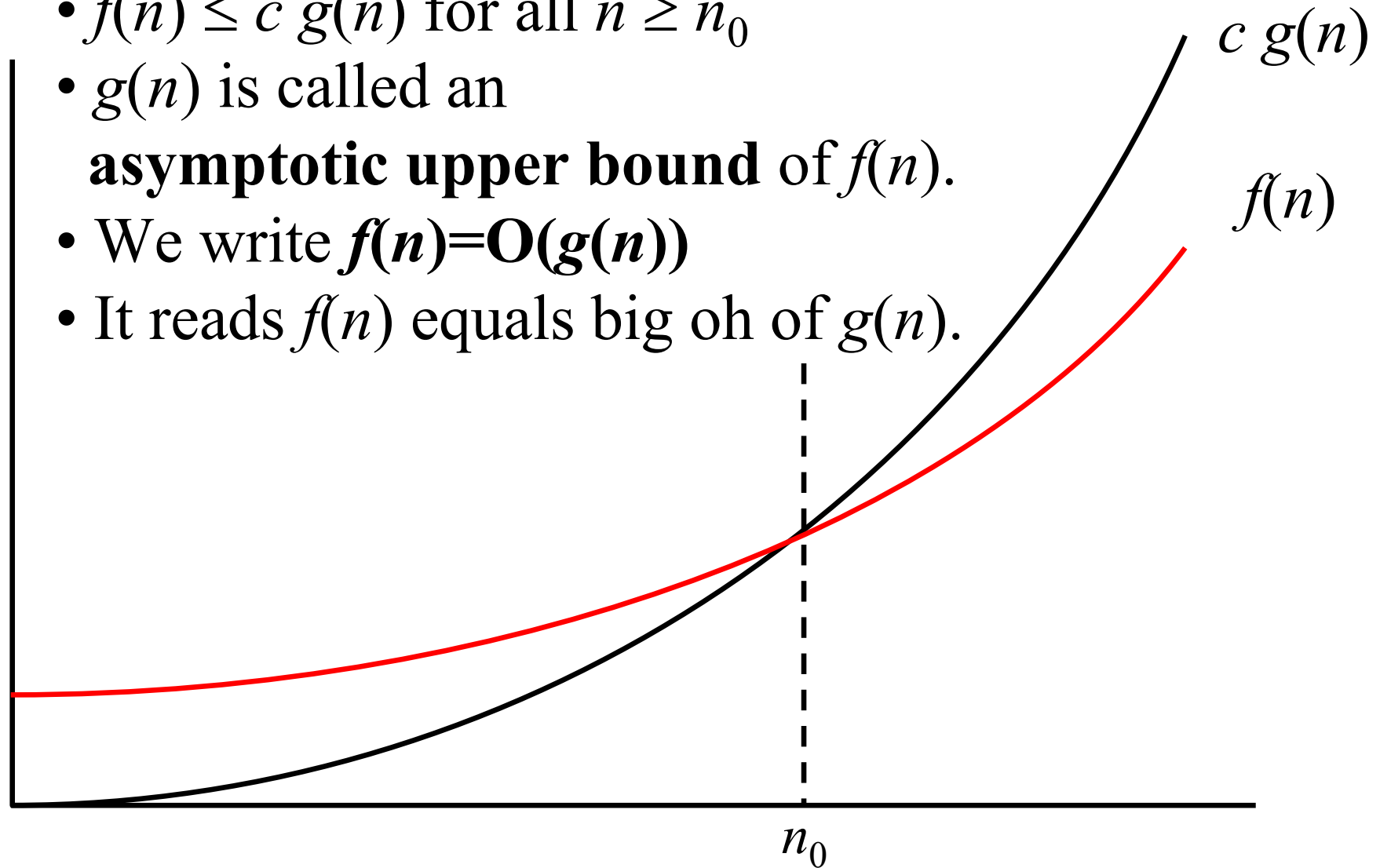
CSIE.NCTU.EDU.TW

Agenda

- **Complexity: Big O, Big Omega, Big Theta**
- **Knapsack problem**
- **Greedy Algorithm**
- **Making Change problem**
- **Knapsack problem**
- **Dynamic Programming (DP)**
- **DP vs. Divide-and-Conquer**
- **Greedy vs. Dynamic Programming**
- **Quick Sort, merge Sort**
- **C++ STL sort(), java.util.Arrays.sort()**

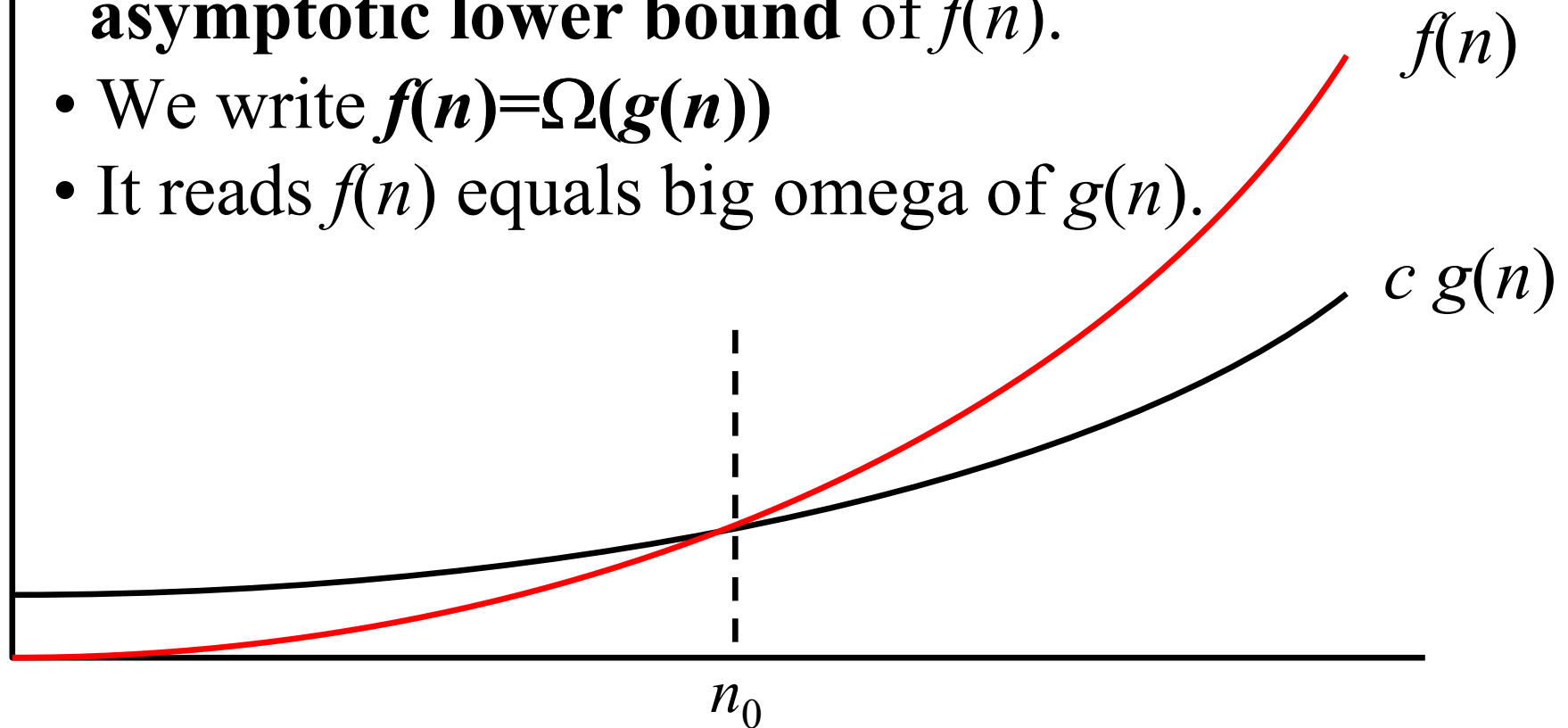
Asymptotic Upper Bound (Big O)

- $f(n) \leq c g(n)$ for all $n \geq n_0$
- $g(n)$ is called an **asymptotic upper bound** of $f(n)$.
- We write $f(n) = O(g(n))$
- It reads $f(n)$ equals big oh of $g(n)$.



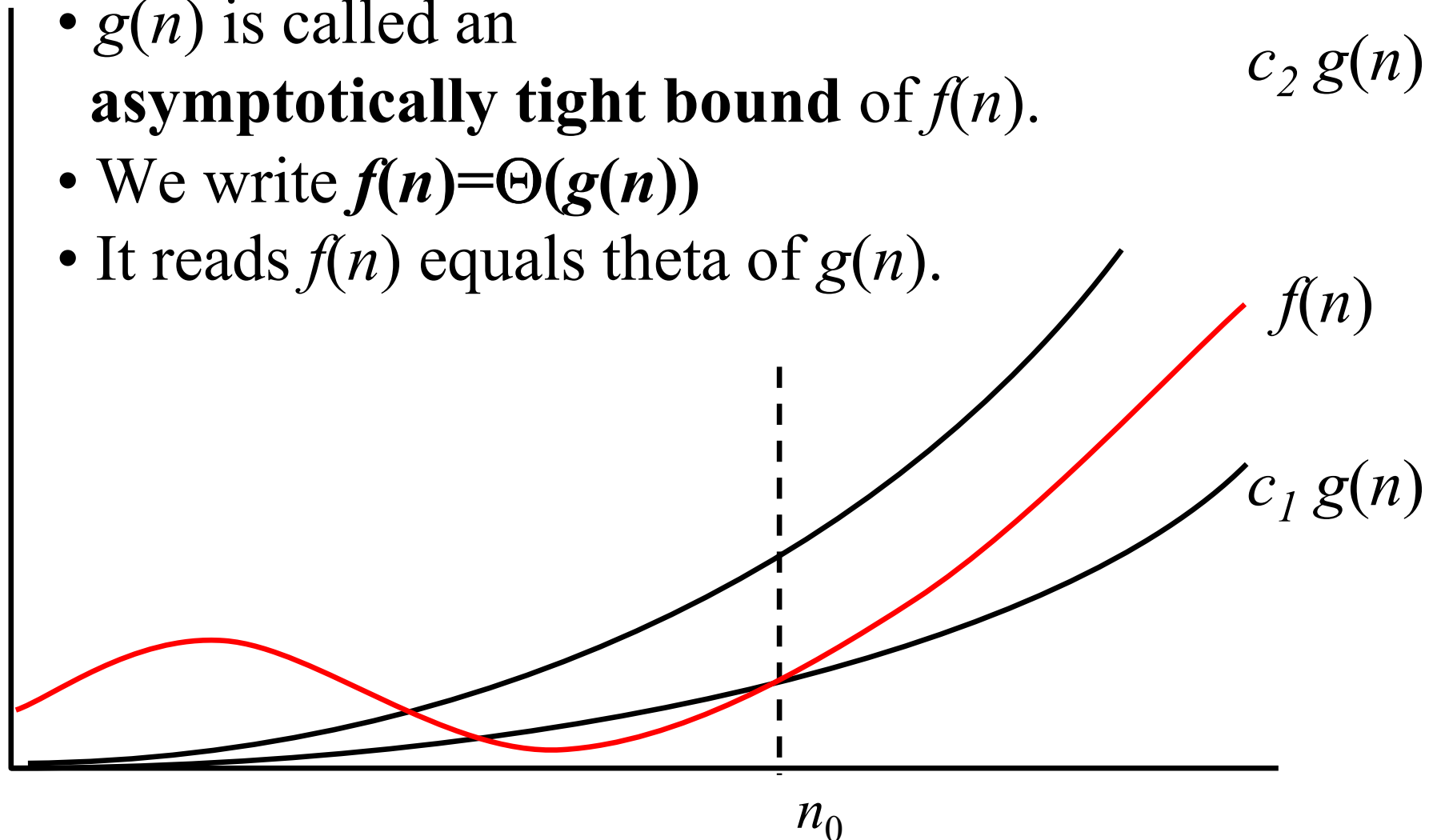
Asymptotic Lower Bound (Big Omega)

- $f(n) \geq c g(n)$ for all $n \geq n_0$
- $g(n)$ is called an **asymptotic lower bound** of $f(n)$.
- We write $f(n) = \Omega(g(n))$
- It reads $f(n)$ equals big omega of $g(n)$.



Asymptotically Tight Bound (Big Theta)

- $f(n) = O(g(n))$ and $f(n) = \Omega(g(n))$
- $g(n)$ is called an **asymptotically tight bound** of $f(n)$.
- We write $f(n) = \Theta(g(n))$
- It reads $f(n)$ equals theta of $g(n)$.

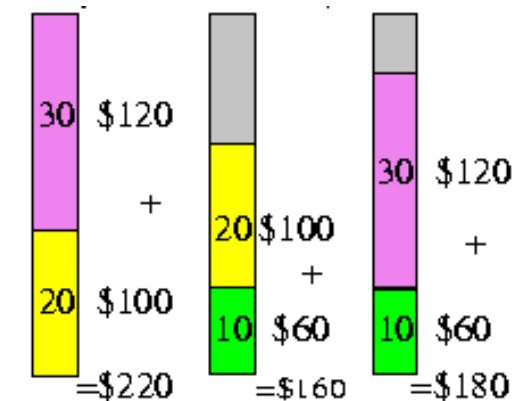
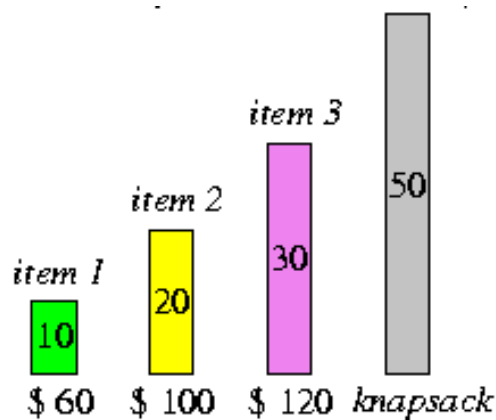


Algorithm types

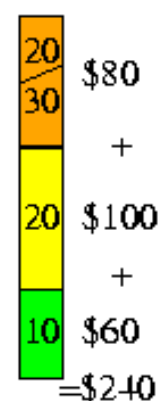
- Algorithm types we will consider include:
 - Simple recursive algorithms
 - Backtracking algorithms
 - ➔ – Greedy algorithms
 - Divide and conquer algorithms
 - ➔ – Dynamic programming algorithms
 - Branch and bound algorithms
 - Brute force algorithms
 - Randomized algorithms

Knapsack Problem

- **Knapsack Problem:** Given n items, with i th item worth v_i dollars and weighing w_i pounds, a thief wants to take as valuable a load as possible, but can carry at most W pounds in his knapsack.
- **The 0-1 knapsack problem:** Each item is either taken or not taken (0-1 decision).
- **The fractional knapsack problem:** Allow to take fraction of items. (較簡單)
- **Exp:** $\vec{v} = (60, 100, 120)$, $\vec{w} = (10, 20, 30)$, $W = 50$



For the 0-1 version, any solution with item 1 is not optimal!



Greedy algorithm is optimal for the fractional version.

- Greedy solution by taking items in order of greatest value per pound is optimal for the fractional version, but not for the 0-1 version.
- The 0-1 knapsack problem is NP-complete, but can be solved in $O(nW)$ time by Dynamic Programming. (A polynomial-time DP??)

The Fractional Knapsack Problem



- Given: A set S of n items, with each item i having
 - b_i - a positive benefit
 - w_i - a positive weight
- Goal: Choose items with maximum total benefit but with weight at most W .
- If we are allowed to take fractional amounts, then this is the **fractional knapsack problem**.
 - In this case, we let x_i denote the amount we take of item i

- Objective: maximize
$$\sum_{i \in S} b_i (x_i / w_i)$$

- Constraint:
$$\sum_{i \in S} x_i \leq W$$

The Fractional Knapsack Algorithm



- Greedy choice: Keep taking item with highest **value** (benefit to weight ratio)
 - Since $\sum_{i \in S} b_i (x_i / w_i) = \sum_{i \in S} (b_i / w_i) x_i$
 - Run time: $O(n \log n)$. Why?
- Correctness: Suppose there is a better solution
 - there is an item i with higher value than a chosen item j , but $x_i < w_i$, $x_j > 0$ and $v_j < v_i$
 - If we substitute some j with i , we get a better solution
 - How much of i : $\min\{w_i - x_i, x_j\}$
 - Thus, there is no better solution than the greedy one

Algorithm *fractionalKnapsack*(S, W)

Input: set S of items w/ benefit b_i and weight w_i ; max. weight W

Output: amount x_i of each item i to maximize benefit w/ weight at most W

for *each item* i **in** S

$x_i \leftarrow 0$

$v_i \leftarrow b_i / w_i$ {value}

$w \leftarrow 0$ {total weight}

while $w < W$

remove item i *w/ highest* v_i

$x_i \leftarrow \min\{w_i, W - w\}$

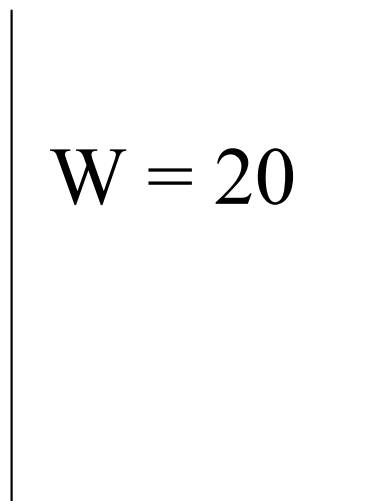
$w \leftarrow w + \min\{w_i, W - w\}$

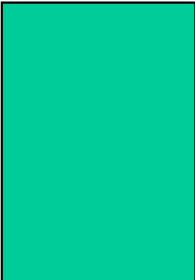
0-1 Knapsack problem (1/5)

- Given a knapsack with **maximum capacity** W , and a set S consisting of n items
- Each item i has some **weight** w_i and **benefit value** b_i (all w_i , b_i and W are integer values)
- Problem:
How to pack the knapsack to achieve maximum total value of packed items?

0-1 Knapsack problem (2/5)

This is a knapsack
Max weight: $W = 20$



Items	Weight w_i	Benefit value b_i
	2	3
	3	4
	4	5
	5	8
	9	10

0-1 Knapsack problem (3/5)

- Problem, in other words, is to find

$$\max \sum_{i \in T} b_i \text{ subject to } \sum_{i \in T} w_i \leq W$$

- The problem is called a “**0-1 Knapsack problem**”, because each item must be entirely accepted or rejected.
- Another version of this problem is the “***Fractional Knapsack Problem***”, where we can take fractions of items.

0-1 Knapsack problem (4/5)

Let's first solve this problem with a straightforward algorithm (**Brute-force**)

- ✓ Since there are n items, there are 2^n possible combinations of items.
- ✓ We go through all combinations and find the one with the most total value and with total weight less or equal to W .
- ✓ Running time will be $O(2^n)$



Brute force ?



- Brute = Beast 野獸
- Brute 是個英雄(Marcus Junius Brutus)，出現在莎士比亞取羅馬歷史上西元前44年凱撒遇刺事件所寫描述一個英雄墜落的悲劇《凱撒大帝》。貴族世家後代的Brute原為凱撒忠臣，因凱撒獨裁憂心羅馬前途決定刺殺凱撒。
- Brutus 刺殺凱撒大帝後自殺之前留下一句名言：
「並非我愛凱撒較少，而是我愛羅馬更多。」

In Shakespeare's "Julius Caesar," he is called Brute, and not Brutus, because "Brute" is in the Vocative Case in Latin.

- 1929年漫畫家西格(Elzie Segar)創作連載漫畫大力水手卜派 (PopEye the Sailor)
- 1933 Fleischer Studios 改編為戲劇, Brute Bluto是 Popeye的死對頭! 1961年更搬上電視卡通 (cartoon) !

0-1 Knapsack problem (5/5)

- Can we do better?
 - Yes, with an algorithm based on **Dynamic programming**
 - We need to carefully identify the subproblems

Key point:

If items are labeled $1..n$, then a subproblem would be to find an optimal solution for $S_k = \{items\ labeled\ 1, 2, .. k\}$

Algorithm types

- Algorithm types we will consider include:
 - Simple recursive algorithms
 - Backtracking algorithms
 - ➔ – Greedy algorithms
 - **Divide and Conquer** algorithms
 - ➔ – Dynamic programming algorithms
 - Branch and bound algorithms
 - Brute force algorithms
 - Randomized algorithms

The Greedy Method Technique

- **The greedy method** is a general algorithm design paradigm, built on the following elements:
 - **configurations**: different choices, collections, or values to find
 - **objective function**: a score assigned to configurations, which we want to either maximize or minimize
 - A **greedy algorithm** always makes the choice that looks best at the moment. (時到時擔當, 沒米再煮蕃薯塊湯)
 - Top-down algorithmic structure
 - With each step, reduce problem to a smaller problem
- It works best when applied to problems with the **greedy-choice** property:
 - a globally-optimal solution can always be found by a **series of local improvements** from a starting configuration.

The greedy method cannot always find an optimal solution!

Coin Changing problem



- Problem: A dollar amount to reach and a collection of coin amounts to use to get there.
 - Configuration: A dollar amount yet to return to a customer plus the coins already returned
 - Objective function: **Minimize number of coins returned.**
- | | | | | | |
|----------------------|------------|------------|-------------|-------------|-------------|
| Coins in USA: | 1 ¢ | 5 ¢ | 10 ¢ | 25 ¢ | 50 ¢ |
|----------------------|------------|------------|-------------|-------------|-------------|
- Greedy solution: Always return the largest coin you can
 - Example 1: Coins are valued \$.32, \$.08, \$.01
 - Has the greedy-choice property, since no amount over \$.32 can be made with a minimum number of coins by omitting a \$.32 coin (similarly for amounts over \$.08, but under \$.32).

Greedy Algorithm for Coin Changing problem

This algorithm makes change for an amount A using coins of denominations

$$denom[1] > denom[2] > \dots > denom[n] = 1.$$

Input Parameters: $denom, A$

Output Parameters: None

```
greedy_coin_change(int denom[], int A) {
    i = 1
    while (A > 0) {
        c = A/denom[ i ]
        println("use " + c +
                " coins of denomination " +
                denom[ i ])
        A = A - c * denom[ i ]
        i = i + 1
    }
}
```

Question ?



Suppose there are unlimited quantities of coins of each denomination.

What property should the denominations $c_1, c_2, \dots, c_k$ have so that the greedy algorithm always yields an optimal solution?

- ✓ Consider this example:
 - Example 2: Coins are valued \$.30, \$.20, \$.05, \$.01
 - Does **not** have greedy-choice property, since \$.40 is best made with two \$.20's, but the greedy solution will pick three coins (which ones?)

The greedy method cannot always find an optimal solution!

Consider the Coin set for examples

- For the following examples, we will assume coins in the following denominations:

1¢ 5¢ 10¢ 21¢ 25¢

- We'll use 63¢ as our goal
- This example is taken from:
Data Structures & Problem Solving using Java *by* Mark Allen Weiss

The greedy method cannot always find an optimal solution!

(1) A simple solution

- **We always need a 1¢ coin, otherwise no solution exists for making one cent**
- To make K cents:
 - If there is a K-cent coin, then that one coin is the minimum
 - Otherwise, for each value $i < K$,
 - Find the minimum number of coins needed to make i cents
 - Find the minimum number of coins needed to make $K - i$ cents
 - Choose the i that minimizes this sum
- This algorithm can be viewed as **divide-and-conquer**, or as **brute force**
 - This solution is very **recursive**
 - It requires exponential work
 - It is ***infeasible*** to solve for 63¢

(2) Another solution

- We can reduce the problem recursively by choosing the first coin, and solving for the amount that is left
- For 63¢:
 - One 1¢ coin plus the best solution for 62¢
 - One 5¢ coin plus the best solution for 58¢
 - One 10¢ coin plus the best solution for 53¢
 - One 21¢ coin plus the best solution for 42¢
 - One 25¢ coin plus the best solution for 38¢
- Choose the best solution from among the 5 given above
- Instead of solving 62 recursive problems, we solve 5
- This is still a very expensive algorithm

(3) A dynamic programming solution

- Idea: Solve first for one cent, then two cents, then three cents, etc., up to the desired amount
 - *Save each answer in an array !*
- For each new amount N , compute all the possible pairs of previous answers which sum to N
 - For example, to find the solution for 13¢,
 - First, solve for all of 1¢, 2¢, 3¢, ..., 12¢
 - Next, choose the best solution among:
 - Solution for 1¢ + solution for 12¢
 - Solution for 2¢ + solution for 11¢
 - Solution for 3¢ + solution for 10¢
 - Solution for 4¢ + solution for 9¢
 - Solution for 5¢ + solution for 8¢
 - Solution for 6¢ + solution for 7¢

Example using dynamic programming

- Suppose coins are 1¢, 3¢, and 4¢
 - There's only one way to make 1¢ (one coin)
 - To make 2¢, try 1¢+1¢ (one coin + one coin = 2 coins)
 - To make 3¢, just use the 3¢ coin (one coin)
 - To make 4¢, just use the 4¢ coin (one coin)
 - To make 5¢, try
 - 1¢ + 4¢ (1 coin + 1 coin = 2 coins)
 - 2¢ + 3¢ (2 coins + 1 coin = 3 coins)
 - The first solution is better, so best solution is 2 coins
 - To make 6¢, try
 - 1¢ + 5¢ (1 coin + 2 coins = 3 coins)
 - 2¢ + 4¢ (2 coins + 1 coin = 3 coins)
 - 3¢ + 3¢ (1 coin + 1 coin = 2 coins) – best solution
 - Etc.

How good is the algorithm?

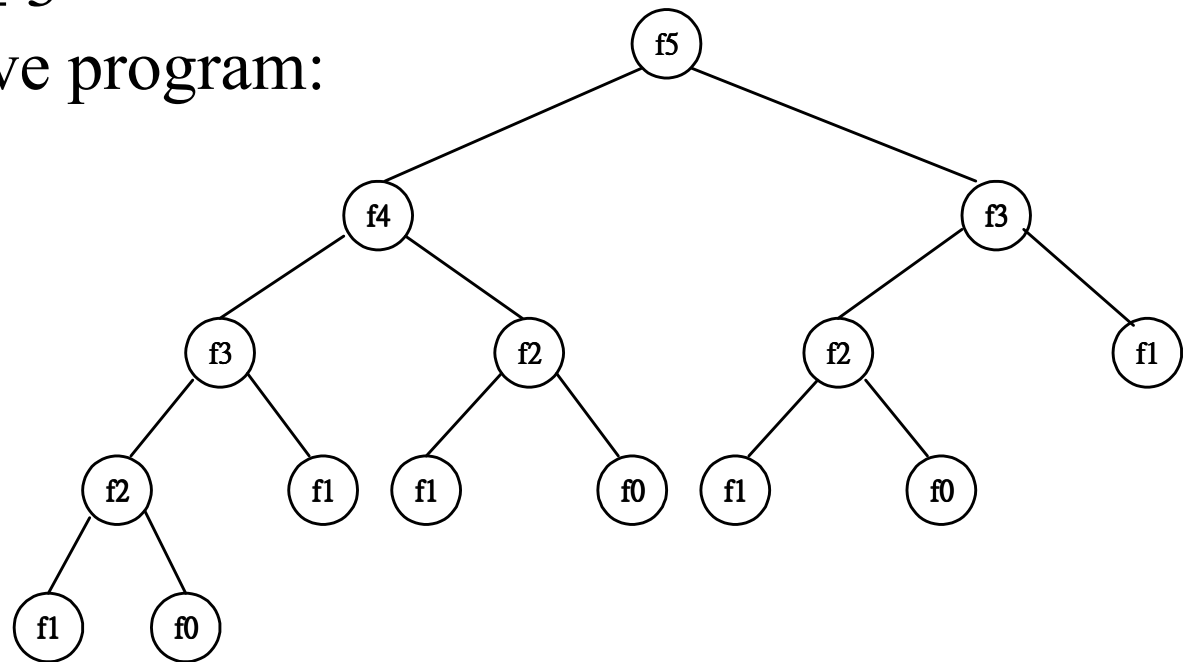
- The first algorithm is recursive, with a branching factor of up to 62
 - Possibly the average branching factor is somewhere around half of that (31)
 - The algorithm takes exponential time, with a large base
- The second algorithm is much better—it has a branching factor of 5
 - This is exponential time, with base 5
- The dynamic programming algorithm is $O(N \cdot K)$, where N is the desired amount and K is the number of different kinds of coins

Dynamic Programming (DP) ?

- Like divide-and-conquer, solve problem by combining the solutions to sub-problems.
- Differences between divide-and-conquer and DP:
 - Independent sub-problems, solve sub-problems **independently** and **recursively**, (so same sub(sub)problems solved **repeatedly**)
 - Sub-problems are **dependent**, i.e., sub-problems **share** sub-sub-problems, every sub(sub)problem solved **just once**, solutions to sub(sub)problems are **stored in a table** and used for solving higher level sub-problems.
- DP reduces computation by
 - Solving subproblems in a bottom-up fashion.
 - Storing solution to a subproblem the first time it is solved.
 - Looking up the solution when subproblem is encountered again.

Fibonacci sequence (1/4)

- Fibonacci sequence: 1 , 1 , 2 , 3 , 5 , 8 , 13 , 21 , ...
 $F_i = 1$ if $i == 1$ or $i == 2$ (assume $F_0 = 0$)
 $F_i = F_{i-1} + F_{i-2}$ if $i \geq 3$
- Solved by a recursive program:



- Much replicated computation is done.
- It should be solved by a simple loop.

Fibonacci Sequence (2/4)

- Recursive logic:
 - $F_1 = F_2 = 1$
 - If $i > 2$ then $F_i = F_{i-1} + F_{i-2}$
- Directly translates into a recursive algorithm F:


```

F(i) {
    if (i = 1) or (i = 2)
        x ← 1
    else
        x ← F(i-1) + F(i-2)
    return x
}
      
```
- F's call tree is **exponential**;
F is recomputed many times
for the same input value!

◆ We can speed things up by storing output values of F in an array A_F

```

F(i) {
    if ( $A_F \neq \text{NULL}$ ) return  $A_F$ 
    if (i = 1) or (i = 2)
        x ← 1
    else
        x ← F(i-1) + F(i-2)
     $A_F \leftarrow x$ 
    return x
}
      
```

◆ Since there are n cells in A_F and each cell takes $O(1)$ time to compute, this is $O(n)$!

Fibonacci Sequence (3/4)

- ◆ We have sped things up by storing output values of F in an array A_F
 $F(i)$ {
 if ($A_F \neq \text{NULL}$) return A_F
 if ($i = 1$) or ($i = 2$)
 $x \leftarrow 1$
 else
 $x \leftarrow F(i-1) + F(i-2)$
 $A_F \leftarrow x$
 return x
}
- ◆ But we don't need recursion at all, just a loop through A_F !

- ◆ The final algorithm is:
 $\text{Fib}(n)$ {
 $A_F \leftarrow$ new array of n int's
 for ($i = 1$ to n) {
 if ($i = 1$) or ($i = 2$)
 $x \leftarrow 1$
 else
 $x \leftarrow F(i-1) + F(i-2)$
 $A_F[i] \leftarrow x$
 }
 return $A_F[n]$
}
- ◆ **This is a dynamic programming algorithm!**

Fibonacci Sequence (4/4)

using Dynamic programming

- Dynamic programming calculates from **bottom to top**. (**bottom-up**)
- Values are stored for later use.
- This reduces repetitive calculation.

Pascal Triangle ?

Application domain of DP

- **Optimization problem:** find a solution with optimal (maximum or minimum) value.
- **An optimal** solution, not *the* optimal solution, since **may more than one optimal solution**, any one is OK.
- **Dynamic Programming** is an algorithm design method that can be used when the solution to a problem may be viewed as the result of a sequence of decisions

Typical steps of DP

- Characterize the structure of an optimal solution.
- Recursively define the value of an optimal solution.
- Compute the value of an optimal solution in a **bottom-up** fashion.
- Compute an optimal solution from computed/stored information.

Comparison with Divide-and-Conquer

- **Divide-and-conquer** algorithms split a problem into separate subproblems, solve the subproblems, and combine the results for a solution to the original problem
 - Example: **Quicksort**
 - Example: Mergesort
 - Example: Binary search
- **Divide-and-Conquer** algorithms can be thought of as **top-down algorithms**
- In contrast, a dynamic programming algorithm proceeds by solving small problems, then combining them to find the solution to larger problems
- **Dynamic programming** can be thought of as **bottom-up**

Divide-and-Conquer

分割征服 ; 各個擊破 ; 拆解

Divide and Conquer

- **Divide** the problem into a number of sub-problems (similar to the original problem but smaller);
- **Conquer** the sub-problems by solving them recursively (if a sub-problem is small enough, just solve it in a straightforward manner (base case).)
- **Combine** the solutions to the sub-problems into the solution for the original problem

Divide and Conquer example : Merge Sort

- **Divide** the n -element sequence to be sorted into two subsequences of $n/2$ element each
- **Conquer:** Sort the two subsequences recursively **using merge sort**
- **Combine:** merge the two sorted subsequences to produce the sorted answer
- **Note:** during the recursion, if the subsequence has only one element, then do nothing.

Comparison with Greedy

- Common: optimal substructure
 - **Optimal substructure:** An optimal solution to the problem contains within its optimal solutions to subproblems.
 - E.g., if A is an optimal solution to S , then $A' = A - \{1\}$ is an optimal solution to $S' = \{i \in S: s_i \geq f_1\}$.
- Difference: greedy-choice property
 - **Greedy:** A global optimal solution can be arrived at by making a locally optimal choice.
 - Dynamic programming needs to check the solutions to subproblems.
- DP can be used if greedy solutions are not optimal.

The greedy method cannot always find an optimal solution!

Greedy vs. Dynamic Programming

The knapsack problem is a good example of the difference.

0-1 knapsack problem: not solvable by greedy.

- n items.
- Item i is worth v_i , weighs w_i pounds.
- Find a most valuable subset of items with total weight $\leq W$.
- Have to either take an item or not take it—can't take part of it.

Fractional knapsack problem: solvable by **greedy**

- Like the 0-1 knapsack problem, but can take fraction of an item.
- Both have optimal substructure.
- But the fractional knapsack problem has the greedy-choice property, and the 0-1 knapsack problem does not.
- To solve the fractional problem, rank items by value/weight: v_i / w_i .
- Let $v_i / w_i \geq v_{i+1} / w_{i+1}$ for all i .

The greedy method cannot always find an optimal solution!

Divide-and-Conquer in Sorting

- Mergesort
 - $O(n \log n)$ always, but $O(n)$ storage
- Quick sort
 - $O(n \log n)$ average, $O(n^2)$ worst in time
 - $O(\log n)$ storage
 - Good in practice (>12)

Quick Sort (1/6)

Algorithm *quick_sort*(array A , from, to)

Input: from - pointer to the starting position of array A

to - pointer to the end position of array A

Output: sorted array: A'

1. Choose any one element as the pivot;
2. Find the first element $a = A[i]$ larger than or equal to pivot from $A[\text{from}]$ to $A[\text{to}]$;
3. Find the first element $b = A[j]$ smaller than or equal to pivot from $A[\text{to}]$ to $A[\text{from}]$;
4. If $i < j$ then exchange a and b ;
5. Repeat step from 2 to 4 until $j \leq i$;
6. If $\text{from} < j$ then recursive call *quick_sort*(A , from, j);
7. If $i < \text{to}$ then recursive call *quick_sort*(A , i , to);

Quick Sort (2/6)

- Quick sort

main idea: from

Choose 5 as pivot

to

1st step: 3 9 1 6 5 4 8 2 10 7

$\xrightarrow{i}$ $\downarrow$ $\xleftarrow{j}$

2nd step: 3 2 1 (6) 5 (4) 8 9 10 7

 → □ ←

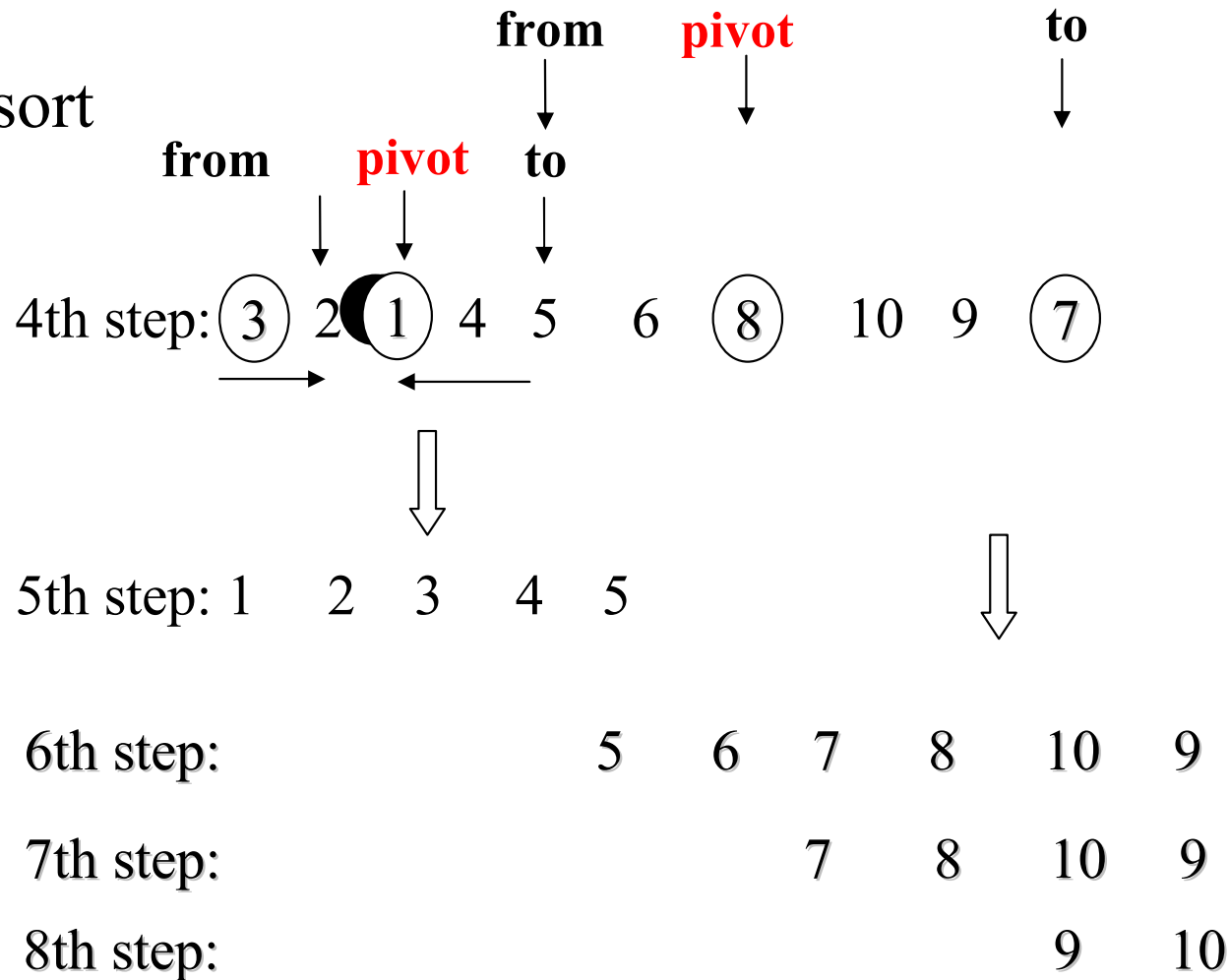
3rd step: 3 2 1 4 **5** 6 8 9 10 7

Smaller than any integer
right to 5

greater than any integer
left to 5

Quick Sort (3/6)

- Quick sort



Quick Sort (4/6)

```
public class QuickSorter { // Java function should be in a class
    public static void sort (int[ ] a, int from, int to) {
        if ((a == null) || (a.length < 2)) return;
        int i = from, j = to;
        int pivot = a[(from + to)/2];
        do {
            while ((i < to) && (a[i] < pivot)) i++;
            while ((j > from) && (a[j] >= pivot)) j--;
            if (i < j) { int tmp = a[i]; a[i] = a[j]; a[j] = tmp; }
            i++; j--;
        } while (i <= j); exchange(a, i, (from+to)/2 ); /***/
        if (from < j) sort(a, from, j);
        if (i < to) sort(a, i, to);
    }
}
```

Quick Sort (5/6)

3, 4, 6, 1, 10, 9, 5, 20, 19, **14**, 12, 2, 15, 21, 13, 18, 17, 8, 16, 1

3, 4, 6, 1, 10, 9, 5, 20, 19, 1, 12, 2, 15, 21, 13, 18, 17, 8, 16, **14**
 $\xrightarrow{i}$ $\xleftarrow{j}$

3, 4, 6, 1, 10, 9, 5, **8**, 19, 1, 12, 2, 15, 21, 13, 18, 17, **20**, 16, **14**
 $\xrightarrow{i}$ $\xleftarrow{j}$

3, 4, 6, 1, 10, 9, 5, 8, 13, 1, 12, 2, 15, 21, 19, 18, 17, 20, 16, **14**
 $\xrightarrow{i}$ $\xleftarrow{j}$

3, 4, 6, 1, 10, 9, 5, 8, 13, 1, 12, 2, **14**, 21, 19, 18, 17, 20, 16, 15

3, 4, 6, 1, 10, 9, 5, 8, 13, 1, 12, 2

$\downarrow$

$\uparrow$ i
 $\uparrow$ j

Quick Sort (6/6)

```
void qsort (int a[ ], int from, int to) {  
    int n = to - from + 1;  
    if ( (n < 2) || (from >= to) ) return;  
    int k = (from + to)/2; int tmp = a[to]; a[to] = a[k]; a[k] = tmp;  
    int pivot = a[to]; // choose a[to] as the pivot  
    int i = from, j = to-1;  
    while(i < j) {  
        while ((i < j) && (a[i] < pivot)) i++;  
        while ((i < j) && (a[j] >= pivot)) j--;  
        if (i < j) { tmp = a[i]; a[i] = a[j]; a[j] = tmp;}  
    };  
    tmp = a[i]; a[i] = a[to]; a[to] = tmp; // exchange  
    if (from < i-1) qsort(a, from, i-1);  
    if (i < to) qsort(a, i+1, to);  
}
```

有大 bugs 的程式碼

```
//buga.c with Big BUG (quick sort)
// 這sort程式有一個大 bug :
// .. i 往右時可能會走過頭！ j 往左時也可能會走過頭！
// program 會在執行時當掉！ Why?
void sort( int left, int right, double x[ ]) { // 注意參數順序要與呼叫者相同
    int i, j; double tmp;
    i = left; j = right+1; // i points to LEFT, j points to 最右的下一個
    while( i<=j ) {
        do { i++; } while( x[i] >= x[left]); // Bug Bug Bug
        do { j--; } while ( x[j] <= x[left]); //Bug Bug Bug
        if(i<j) {tmp=x[i]; x[i]=x[j]; x[j]=tmp; }
    }
    tmp=x[j]; x[j]=x[left]; x[left]=tmp;
    if(left< j-1) sort(left, j-1, x);
    if(j+1 < right) sort(j+1, right, x);
} // sort( )
```

有小 bugs 的程式碼

```
//bugb.c with small BUG (quick sort)  
// 這sort程式有一個小 bug : (已經試著要 fix Big Bug)  
// .. i 往右時可能會走過頭! j 往左時也可能會走過頭!  
// Please try to fix it  
void sort( int left, int right, double x[ ]) {  
    int i, j; double tmp;  
    i = left; j = right+1; // i points to LEFT, j points to 最右的下一個  
    while( i<=j ) {  
        do { i++; } while( i < right && x[i] >= x[left]); // Bug 還在  
        do { j--; } while ( j > left && x[j] <= x[left]);  
        if(i<j) {tmp=x[i]; x[i]=x[j]; x[j]=tmp; }  
    }  
    tmp=x[j]; x[j]=x[left]; x[left]=tmp;  
    if(left< j-1) sort(left, j-1, x);  
    if(j+1 < right) sort(j+1, right, x);  
}
```

比了幾次 data 才完成 sorting ?(1/2)

```
//sortg.c -- quick sort
#include<stdio.h>
long n=0;
void sort( int left, int right, double x[ ]) {
    int i, j; double tmp, pivot;
    i = left;  j = right+1;
    pivot = x[left];
    while( i<=j ) {
        do { i++; ++n;} while( i< j && x[i] >= pivot);
        if(i>= j) --n;
        do { j--; ++n;} while ( i<=j &&x[j] <= pivot);
        if(i > j) --n;
        if(i<j) {tmp=x[i]; x[i]=x[j]; x[j]=tmp; }
    }
    printf("= n=%ld", n);
    tmp=x[j]; x[j]=x[left]; x[left]=tmp;
    if(left< j-1) sort(left, j-1, x);
    if(j+1 < right) sort(j+1, right, x);
}
```

比了幾次 data 才完成 sorting ?(2/2)

```
double y[ ] = {15, 38, 12, 75, 39,88,20, 66, 49, 58};
#include<stdio.h>
void pout(double*, int);
int main( ) {
    printf("Before sort:\n"); pout(y, sizeof(y)/sizeof( y[0]) );
    sort(0, sizeof(y)/sizeof( y[0]) -1 ,y );
    printf("==The number of data comparisms, n = %ld\n", n);
    //
    printf(" After sort:\n"); pout(y, sizeof(y)/sizeof( y[0]) );
}
void pout(double*p, int n) {
    int i;
    for(i=0; i<=n-1; ++i) {
        printf("%7.2f ", p[i]);
    } printf(" \n");
}
```

qsort() in C Library

- There is a library function for quick sort in C Language: qsort().
- #include <stdlib.h>

```
void qsort(void *base, size_t num, size_t size,  
           int (*comp_func)(const void *, const void *))
```

void * base --- a pointer to the array to be sorted

size_t num --- the number of elements

size_t size --- the element size

int (*cf) (...) --- is a pointer to a function used to compare

int comp_func() 必須傳回 -1, 0, 1 代表 <, ==, >

C++ STL <algorithm>

站在巨人肩膀上

```
#include <algorithm>
using namespace std;
int x[ ] = { 38, 49, 15, 158, 25, 58, 88, 66 }; // array of primitive data
#define n (sizeof(x)/sizeof(x[0]))
//...
sort(x, x+n); // ascending order
// what if we want to sort into descending order
sort(x, x+n, sortfun); // with compare function
sort(y, y+k, sortComparatorObject); // with Comparator Object
```

Comparison function? Default: bool operator<(first, second)
C++ Comparison function 爲bool
須傳回 true 代表 第一參數 < 第二參數 : ascending

Comparator 內要有 bool operator() (Obj a, Obj b) { /*...*/ }

<http://www.cplusplus.com/reference/algorithm/sort/>

Java 的 `java.util.Arrays.sort()`

- 只能用來 sort 物件的 array (Not primitive data)
- 可透過該物件的 `compareTo()`, 當然該 class 須 implements **`java.lang.Comparable`**
- 也可透過傳給 sort 一個 Comparator, 就是某個有 implement **`java.util.Comparator`** 之 class 的實體物件; 注意 Java 沒辦法把函數當作參數傳!!!

Java 不可以把函數當作參數!

`java.util.Arrays.sort()` 要傳 Comparator 物件?

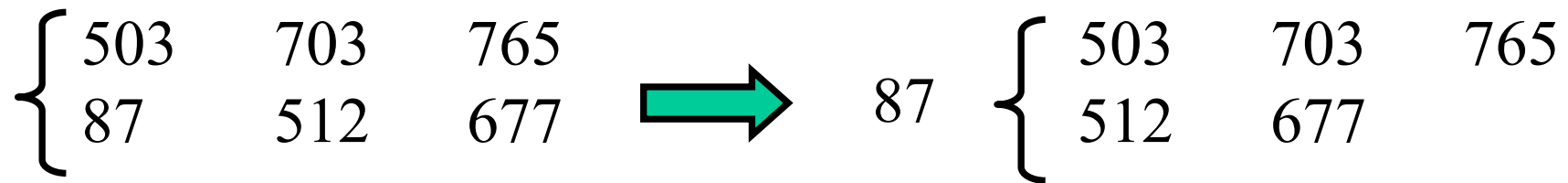
不傳則會用要被 sort 之 array 的物件內的 `compareTo()`

不論是 `compareTo()`, 還是 **Comparator** 內的 `compare()` 都是類似 C 的 `qsort` 用的比較函數, `int`, 要傳回 -1, 0, 1

Merge Sort (1/5)

Merging means the combination of two or more ordered sequence into a single sequence. For example, can merge two sequences: 503, 703, 765 and 087, 512, 677 to obtain a sequence: 087, 503, 512, 677, 703, 765.

A simple way to accomplish this is to compare the two smallest items, output the smallest, and then repeat the same process.



The smaller one goes first

Merge Sort (2/5)

Algorithm *Merge*(s1, s2)

Input: two sequences: s1 - $x_1 \leq x_2 \leq \dots \leq x_m$ and s2 - $y_1 \leq y_2 \leq \dots \leq y_n$

Output: a sorted sequence: $z_1 \leq z_2 \leq \dots \leq z_{m+n}$.

- 1.[initialize] $i := 1, j := 1, k := 1$;
- 2.[find smaller] if $x_i \leq y_j$ goto step 3, otherwise goto step 5;
- 3.[output x_i] $z_k := x_i, k := k+1, i := i+1$. If $i \leq m$, goto step 2;
- 4.[transmit $y_j \leq \dots \leq y_n$] $z_k, \dots, z_{m+n} := y_j, \dots, y_n$. Terminate the algorithm;
- 5.[output y_j] $z_k := y_j, k := k+1, j := j+1$. If $j \leq n$, goto step 2;
- 6.[transmit $x_i \leq \dots \leq x_m$] $z_k, \dots, z_{m+n} := x_i, \dots, x_m$. Terminate the algorithm;

Merge Sort (3/5)

Algorithm *Merge-sorting*(s)

Input: a sequences $s = \langle x_1, \dots, x_m \rangle$

Output: a sorted sequence.

1. If $|s| = 1$, then return s;
2. $k := \lceil m/2 \rceil$;
3. $s1 := \text{Merge-sorting}(x_1, \dots, x_k)$;
4. $s2 := \text{Merge-sorting}(x_{k+1}, \dots, x_m)$;
5. return(*Merge*(s1, s2));

Merge(s1, s2) will merge the two halves s1 and s2 together

Mergesort (4/5) (in C Language)

```
public void mergeSort ( double arr [ ],
                      int from, int to )
{
    if (from <= to)
        return;

    int middle = (from + to ) / 2;
    mergeSort (arr, from, middle);
    mergeSort (arr, middle + 1, to);

    if (arr [middle] > arr [middle+ 1] )
    {
        copy (arr, from, to, temp) ;
        merge (temp, from, middle, to, arr);
    } // if
} // mergeSort
```

“if not yet sorted”...

**optional
shortcut**

double temp[] is
initialized outside
the **mergesort**
method

Mergesort (5/5) time complexity

- Takes roughly $n \cdot \log_2 n$ comparisons.
- Without the shortcut, there is no best or worst case.
- With the optional shortcut, the best case is when the array is already sorted: takes only $(n-1)$ comparisons.

http://en.wikipedia.org/wiki/Merge_sort

Thank You!

CHAPTER 5



Algorithms 補充

謝謝捧場

tsaiwn@csie.nctu.edu.tw

蔡文能