

# Introduction to **Approximation Algorithms**

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# Outline

- Introduction to Linear Programming Duality
  - The Weak Duality Theorem
  - Deriving the Dual LP
  - Examples of Natural Primal and Dual Problems
- 2-Approximation for Vertex Cover via the Dual-Fitting Technique

# LP Duality

Dual LP as a systematic process to bounding the primal LP.

# Deriving Bounds for the LP

- Consider the following minimization LP.

$$\begin{array}{ll} \min & 7x_1 + x_2 + 5x_3 \quad (*) \\ \text{s. t.} & x_1 - x_2 + 3x_3 \geq 10, \\ & 5x_1 + 2x_2 - x_3 \geq 6, \\ & x_1, x_2, x_3 \geq 0. \end{array}$$

Let's denote the objective by *Obj*.

- How can we derive a lower-bound on *Obj* (and hence  $\text{OPT}(*)$ ) ?

How small can the value of this LP be?

# Lower-Bound Ver. 1

$$\min \quad 7x_1 + x_2 + 5x_3 \quad (*)$$

$$\text{s. t.} \quad x_1 - x_2 + 3x_3 \geq 10,$$

$$5x_1 + 2x_2 - x_3 \geq 6,$$

$$x_1, x_2, x_3 \geq 0.$$

Let's denote the objective by ***Obj***.

(1)

- How can we derive a lower-bound on *Obj* (and hence  $\text{OPT}(*)$ ) ?

Since  $x_1, x_2, x_3 \geq 0$ , we have

$$\text{Obj} = 7x_1 + x_2 + 5x_3 \geq x_1 - x_2 + 3x_3 \geq 10.$$

We get a lower-bound of **10**.

$$7x_1 \geq x_1$$

$$x_2 \geq -x_2$$

$$5x_3 \geq 3x_3$$

By (1)

How small can the value of this LP be?

# Lower-Bound Ver. 2

$$\min \quad 7x_1 + x_2 + 5x_3 \quad (*)$$

$$\text{s.t.} \quad x_1 - x_2 + 3x_3 \geq 10,$$

$$5x_1 + 2x_2 - x_3 \geq 6,$$

$$x_1, x_2, x_3 \geq 0.$$

Let's denote the objective by ***Obj***.

(1)

(2)

- How can we derive a lower-bound on *Obj* (and hence  $\text{OPT}(*)$ ) ?

Since  $x_1, x_2, x_3 \geq 0$ , we have

$$\text{Obj} = 7x_1 + x_2 + 5x_3$$

By (1) + (2)

We get a lower-bound of **16**.

$$\begin{aligned} 7x_1 &\geq 6x_1 \\ x_2 &\geq x_2 \\ 5x_3 &\geq 2x_3 \end{aligned} \quad \geq (x_1 - x_2 + 3x_3) + (5x_1 + 2x_2 - x_3) \geq 10 + 6 = 16.$$

$$x_2 \geq x_2$$

$$5x_3 \geq 2x_3$$

How small can the value of this LP be?

What is the best value we can get ? We ask.

## Lower-Bound Ver. 3

$$\min \quad 7x_1 + x_2 + 5x_3 \quad (*)$$

$$\text{s. t.} \quad x_1 - x_2 + 3x_3 \geq 10,$$

$$5x_1 + 2x_2 - x_3 \geq 6,$$

$$x_1, x_2, x_3 \geq 0.$$

Let's denote the objective by **Obj**.

(1)

(2)

- How can we derive a lower-bound on *Obj* (and hence  $\text{OPT}(*)$ ) ?

Since  $x_1, x_2, x_3 \geq 0$ , we have

$$\text{Obj} = 7x_1 + x_2 + 5x_3$$

By  $2 \cdot (1) + (2)$

This time,  
we get **26**!

$$\begin{array}{l} 7x_1 \geq 7x_1 \\ x_2 \geq 0x_2 \\ 5x_3 \geq 5x_3 \end{array} \geq 2 \cdot (x_1 - x_2 + 3x_3) + (5x_1 + 2x_2 - x_3) \geq 20 + 6 = 26.$$

$$5x_3 \geq 5x_3$$

How small can the value of this LP be?

# Obtaining the Best Lower-Bound for (\*)

$$\min \quad 7x_1 + x_2 + 5x_3 \quad (*)$$

$$\text{s.t.} \quad x_1 - x_2 + 3x_3 \geq 10,$$

$$5x_1 + 2x_2 - x_3 \geq 6,$$

$$x_1, x_2, x_3 \geq 0.$$

Multiply by  $y_1 \geq 0$

Multiply by  $y_2 \geq 0$

We get a new LP (\*\*).

Any feasible solution for (\*\*) gives a valid lower-bound on the value of (\*)!

$$(1,0) \rightarrow 10$$

$$(1,1) \rightarrow 16$$

$$(2,1) \rightarrow 26$$

$$\max \quad 10y_1 + 6y_2 \quad (**)$$

$$\text{s.t.} \quad y_1 + 5y_2 \leq 7,$$

$$-y_1 + 2y_2 \leq 1,$$

$$3y_1 - y_2 \leq 5,$$

$$y_1, y_2 \geq 0.$$

We want to maximize the lower-bound obtained!

The combined coefficient cannot exceed the coefficient of Obj.



# Obtaining the Best Upper-Bound for (\*\*)

$$\max \quad 10y_1 + 6y_2 \quad (**)$$

$$\text{s.t.} \quad y_1 + 5y_2 \leq 7,$$

$$-y_1 + 2y_2 \leq 1,$$

$$3y_1 - y_2 \leq 5,$$

$$y_1, y_2 \geq 0.$$

Multiply by  $x_1 \geq 0$

Multiply by  $x_2 \geq 0$

Multiply by  $x_3 \geq 0$

Apply the same idea on (\*\*) and we get the LP (\*) !

Moreover, the two LPs have the same optimal value.

$$(x_1, x_2, x_3) = \left(\frac{7}{4}, 0, \frac{11}{4}\right) \rightarrow 26$$

$$(y_1, y_2) = (2, 1) \rightarrow 26$$

$$\min \quad 7x_1 + x_2 + 5x_3 \quad (*)$$

$$\text{s.t.} \quad x_1 - x_2 + 3x_3 \geq 10,$$

$$5x_1 + 2x_2 - x_3 \geq 6,$$

$$x_1, x_2, x_3 \geq 0.$$

We want to minimize the upper-bound obtained!

The combined coefficient must be at least the coefficient of Obj.

# Primal and Dual LPs

- In general, the following two LPs are called primal- and dual- LPs to each other.

$$\min \sum_{1 \leq j \leq n} c_j \cdot x_j \quad (\text{P})$$

$$\text{s. t. } \sum_{1 \leq j \leq n} a_{i,j} \cdot x_j \geq b_i, \quad \forall 1 \leq i \leq m,$$
$$x_j \geq 0, \quad \forall 1 \leq j \leq n.$$

$$\max \sum_{1 \leq i \leq m} b_i \cdot y_i \quad (\text{D})$$

$$\text{s. t. } \sum_{1 \leq i \leq m} a_{i,j} \cdot y_i \leq c_j, \quad \forall 1 \leq j \leq n,$$
$$y_i \geq 0, \quad \forall 1 \leq i \leq m.$$

$$\begin{matrix} & c_1 & c_2 & c_3 & \dots & \dots & c_n \\ \left( \begin{array}{cccccc} | & | & | & | & | & | \\ | & | & | & | & | & | \\ | & | & | & | & | & | \\ | & | & | & | & | & | \\ | & | & | & | & | & | \\ | & | & | & | & | & | \end{array} \right) & \begin{matrix} b_1 \\ b_2 \\ b_3 \\ \dots \\ b_m \end{matrix} \end{matrix}$$

$A$

# Primal and Dual LPs

- In general, the following two LPs, (P) and (D), are called primal- and dual- LPs to each other.

$$\begin{array}{ll}
 \min & \sum_{1 \leq j \leq n} c_j \cdot x_j \quad (P) \\
 \text{s. t.} & \sum_{1 \leq j \leq n} a_{i,j} \cdot x_j \geq b_i, \quad \forall 1 \leq i \leq m, \\
 & x_j \geq 0, \quad \forall 1 \leq j \leq n.
 \end{array}$$

$$\begin{array}{ll}
 \max & \sum_{1 \leq i \leq m} b_i \cdot y_i \quad (D) \\
 \text{s. t.} & \sum_{1 \leq i \leq m} a_{i,j} \cdot y_i \leq c_j, \quad \forall 1 \leq j \leq n, \\
 & y_i \geq 0, \quad \forall 1 \leq i \leq m.
 \end{array}$$

$$\begin{bmatrix} \cdots & c & \cdots \end{bmatrix}$$

$$\begin{bmatrix} \cdots & \cdots & \cdots & \cdots & \cdots \\ \cdots & A & \cdots & \cdots & \cdots \\ \cdots & \cdots & \cdots & \cdots & \cdots \end{bmatrix} \cdot \begin{bmatrix} \vdots \\ x \\ \vdots \end{bmatrix} \geq \begin{bmatrix} \vdots \\ b \\ \vdots \end{bmatrix}$$



$$\begin{bmatrix} \cdots & y & \cdots \end{bmatrix} \cdot \begin{bmatrix} \vdots & \vdots & \vdots & \vdots & \vdots \\ \vdots & A & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots & \vdots \end{bmatrix} \leq \begin{bmatrix} \cdots & -c & \cdots \end{bmatrix}$$

# Primal and Dual LPs

- In short, we also write

$$\begin{array}{ll}\min & \mathbf{c} \cdot \mathbf{x} \\ \text{s.t.} & A\mathbf{x} \geq \mathbf{b}, \\ & \mathbf{x} \geq 0.\end{array} \quad (\text{P})$$

$$\begin{array}{ll}\max & \mathbf{y} \cdot \mathbf{b} \\ \text{s.t.} & \mathbf{y}A \leq \mathbf{c}, \\ & \mathbf{y} \geq 0.\end{array} \quad (\text{D})$$

$$\left[ \cdots \mathbf{c} \cdots \right]$$

$$\begin{pmatrix} \cdots \\ \cdots \\ \cdots A \cdots \\ \cdots \end{pmatrix} \cdot \begin{pmatrix} \vdots \\ \vdots \\ \vdots x \vdots \end{pmatrix} \geq \begin{pmatrix} \vdots \\ \vdots \\ \vdots b \vdots \end{pmatrix}$$



$$\left[ \cdots \mathbf{y} \cdots \right] \cdot \begin{pmatrix} \vdots \\ \vdots \\ \vdots A \vdots \\ \vdots \end{pmatrix} \leq \left[ \cdots \mathbf{c} \cdots \right]$$

# Primal and Dual LPs

- In general, the following two LPs, (P) and (D), are called primal- and dual- LPs to each other.

$$\begin{array}{ll} \min & \sum_{1 \leq j \leq n} c_j \cdot x_j \\ \text{s.t.} & \sum_{1 \leq j \leq n} a_{i,j} \cdot x_j \geq b_i, \quad \forall 1 \leq i \leq m, \\ & x_j \geq 0, \quad \forall 1 \leq j \leq n. \end{array} \quad (\text{P})$$

$$\begin{array}{ll} \max & \sum_{1 \leq i \leq m} b_i \cdot y_i \\ \text{s.t.} & \sum_{1 \leq i \leq m} a_{i,j} \cdot y_i \leq c_j, \quad \forall 1 \leq j \leq n, \\ & y_i \geq 0, \quad \forall 1 \leq i \leq m. \end{array} \quad (\text{D})$$

- In short, we also write

$$\begin{array}{ll} \min & \mathbf{c} \cdot \mathbf{x} \\ \text{s.t.} & \mathbf{Ax} \geq \mathbf{b}, \\ & \mathbf{x} \geq 0. \end{array} \quad (\text{P})$$

$$\begin{array}{ll} \max & \mathbf{y} \cdot \mathbf{b} \\ \text{s.t.} & \mathbf{yA} \leq \mathbf{c}, \\ & \mathbf{y} \geq 0. \end{array} \quad (\text{D})$$

# Weak Duality of LPs

The primal and the dual LPs are in fact bounding each other.

# The Weak Duality Theorem

$$\min \quad \mathbf{c} \cdot \mathbf{x} \quad (\text{P})$$

$$\text{s.t.} \quad \mathbf{Ax} \geq \mathbf{b},$$

$$\mathbf{x} \geq 0.$$

$$\max \quad \mathbf{y} \cdot \mathbf{b} \quad (\text{D})$$

$$\text{s.t.} \quad \mathbf{yA} \leq \mathbf{c},$$

$$\mathbf{y} \geq 0.$$

- We have seen that, ***any feasible solution of (D)*** corresponds to *a valid way of combining the inequalities of (P)*, and hence gives a **lower-bound for the optimal value of (P)**, and vice versa.
  - This is the weak duality theorem.

# The Weak Duality Theorem

$$\min \quad \mathbf{c} \cdot \mathbf{x} \quad (\text{P})$$

$$\text{s.t.} \quad A\mathbf{x} \geq \mathbf{b},$$

$$\mathbf{x} \geq 0.$$

$$\max \quad \mathbf{y} \cdot \mathbf{b} \quad (\text{D})$$

$$\text{s.t.} \quad \mathbf{y}A \leq \mathbf{c},$$

$$\mathbf{y} \geq 0.$$

## Theorem 1.

Let  $\mathbf{x}_0$  and  $\mathbf{y}_0$  be feasible solutions for LP-(P) and LP-(D), respectively.

Then, we have

$$\mathbf{c} \cdot \mathbf{x}_0 \geq \mathbf{y}_0 \cdot \mathbf{b}.$$

By the feasibility of  $\mathbf{x}_0$  and  $\mathbf{y}_0$  for LP-(P) and LP(D), we have

$$\mathbf{y}_0 \cdot \mathbf{b} \leq \mathbf{y}_0 \cdot (A\mathbf{x}_0) = (\mathbf{y}_0 A) \cdot \mathbf{x}_0 \leq \mathbf{c} \cdot \mathbf{x}_0.$$



# Deriving the Dual LP

# Deriving the Dual LP

**Each variable in (P)** corresponds to a **constraint in (D)**,  
i.e., a constraint bounding the combined coefficient.

$$\begin{aligned} \min \quad & \sum_{1 \leq j \leq n} c_j \cdot x_j & (P) \\ \text{s.t.} \quad & \sum_{1 \leq j \leq n} a_{i,j} \cdot x_j \geq b_i, & \forall 1 \leq i \leq m, \\ & x_j \geq 0, & \forall 1 \leq j \leq n. \end{aligned}$$

**Each constraint in (P)** corresponds to a **variable in (D)**,  
i.e., the multiplier of the constraints.

$$\begin{aligned} \max \quad & \sum_{1 \leq i \leq m} b_i \cdot y_i & (D) \\ \text{s.t.} \quad & \sum_{1 \leq i \leq m} a_{i,j} \cdot y_i \leq c_j, & \forall 1 \leq j \leq n, \\ & y_i \geq 0, & \forall 1 \leq i \leq m. \end{aligned}$$

$$\begin{pmatrix} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{pmatrix} A \cdot \begin{pmatrix} | \\ | \\ | \\ | \\ | \end{pmatrix} x$$



$$\begin{pmatrix} \text{---} & y & \text{---} \end{pmatrix} \cdot \begin{pmatrix} | & | & | & | & | & | & | & | & | & | \\ & A & \\ & & \end{pmatrix}$$

# The Natural LP for Vertex Cover

$$\min \sum_{v \in V} w_v \cdot x_v$$

$$\text{s.t. } x_u + x_v \geq 1, \quad \forall (u, v) \in E,$$

$$x_v \geq 0, \quad \forall v \in V.$$

$\forall v \in V$ ,  
a constraint for  $v$

$\forall e = (u, v) \in E$ ,  
a variable  $y_e$

For each row  $e = (u, v) \in E$  in the matrix  $A$ ,  
only column  $u$  and  $v$  are 1, and the remainings are 0.

$$\begin{array}{ccccccc} 0 & 0 & \dots & 0 & \mathbf{1} & 0 & \dots & 0 & \mathbf{1} & 0 & \dots & 0 & e = (u, v) \\ & & & & u & & & & v & & & & \end{array}$$

$$A(e, v) = \begin{cases} 1, & \text{if } v \in e, \\ 0, & \text{otherwise.} \end{cases}$$

$\forall v \in V$ , we get a constraint

$$\sum_{e \in E: v \in e} y_e \leq w_v.$$

# The Dual Natural LP of Vertex Cover

- In conclusion, we get

$$\begin{aligned} \min \quad & \sum_{v \in V} w_v \cdot x_v & (P) \\ \text{s. t.} \quad & x_u + x_v \geq 1, & \forall (u, v) \in E, \\ & x_v \geq 0, & \forall v \in V. \end{aligned}$$

$$\begin{aligned} \max \quad & \sum_{e \in E} y_e & (D) \\ \text{s. t.} \quad & \sum_{e \in E: v \in e} y_e \leq w_v, & \forall v \in V, \\ & y_e \geq 0, & \forall e \in E. \end{aligned}$$

$$\begin{array}{cccccc}
 & x_{v_1} & x_{v_2} & x_{v_3} & \dots & \dots & x_{v_n} \\
 & v_1 & v_2 & v_3 & \dots & \dots & v_n \\
 e_1 & \left( \begin{array}{ccccc} | & | & | & | & | \\ \hline | & | & | & | & | \\ | & | & | & | & | \\ | & | & | & | & | \\ | & | & | & | & | \\ | & | & | & | & | \\ | & | & | & | & | \end{array} \right) & 1 & y_{e_1} \\
 e_2 & & & & & & 1 & y_{e_2} \\
 e_3 & & & & & & 1 & y_{e_3} \\
 \dots & & & & & & \dots & \dots \\
 e_m & & & & & & 1 & y_{e_m} \\
 & w_1 & w_2 & w_3 & \dots & \dots & w_n
 \end{array}$$

$$\forall A(e, v) = \begin{cases} 1, & \text{if } v \in e, \\ 0, & \text{otherwise.} \end{cases}$$


$\forall v \in V$ , we get a constraint

$$\sum_{e \in E: v \in e} y_e \leq w_v.$$

# Examples of Natural Primal-Dual Problems

# Minimum Vertex Cover & Maximum Matching

- Given a graph  $G = (V, E)$ , the (cardinality) vertex cover problem is to compute a minimum vertex subset  $U \subseteq V$  such that, for any edge  $(u, v) \in E$ ,  $u$  or  $v$  is in  $U$ .

$\begin{aligned} \min \quad & \sum_{v \in V} x_v && \text{(P)} \\ \text{s.t.} \quad & x_u + x_v \geq 1, && \forall (u, v) \in E, \\ & x_v \geq 0, && \forall v \in V. \end{aligned}$		$\begin{aligned} \max \quad & \sum_{e \in E} y_e && \text{(D)} \\ \text{s.t.} \quad & \sum_{e \in E: v \in e} y_e \leq 1, && \forall v \in V, \\ & y_e \geq 0, && \forall e \in E. \end{aligned}$
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- The (cardinality) maximum matching problem is to compute a maximum size edge subset  $M \subseteq E$  such that each vertex  $u \in V$  is incident to at most edge in  $M$ .

# Minimum Vertex Cover & Maximum Matching

- Given a graph  $G = (V, E)$ , the (**weighted**) vertex cover problem is to compute a minimum vertex subset  $U \subseteq V$  such that, for any edge  $(u, v) \in E$ ,  $u$  or  $v$  is in  $U$ .

$$\min \sum_{v \in V} w_v \cdot x_v \quad (\text{P})$$

$$\begin{aligned} \text{s.t. } & x_u + x_v \geq 1, \quad \forall (u, v) \in E, \\ & x_v \geq 0, \quad \forall v \in V. \end{aligned}$$



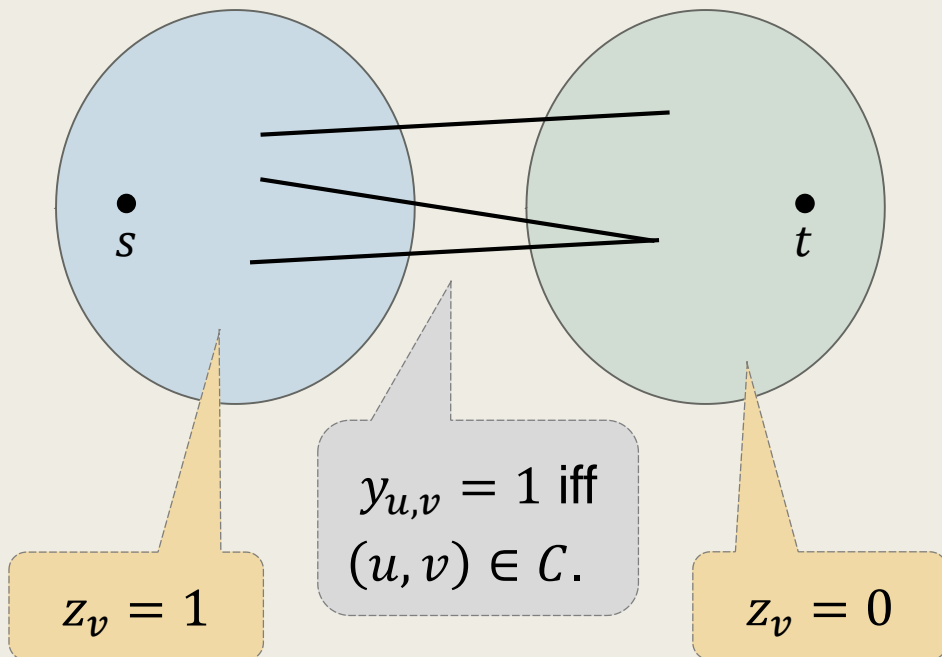
$$\max \sum_{e \in E} y_e \quad (\text{D})$$

$$\begin{aligned} \text{s.t. } & \sum_{e \in E: v \in e} y_e \leq w_v, \quad \forall v \in V, \\ & y_e \geq 0, \quad \forall e \in E. \end{aligned}$$

- The (**weighted**) maximum matching problem is to compute a maximum size edge *multi-subset*  $M \subseteq E$  such that each vertex  $u \in V$  is incident to *at most*  $w_u$  edges in  $M$ .

# Max-Flow & Min-Cut

- The natural LPs for maximum flow and minimum cut.



$$\begin{aligned}
 &\max \sum_{v \in V: (s,v) \in E} f_{s,v} && (F) \\
 &\text{s. t.} \quad \sum_{u \in V: (u,v) \in E} f_{u,v} - \sum_{w \in V: (v,w) \in E} f_{v,w} = 0, && \forall v \in V - \{s, t\}, \\
 &0 \leq f_{u,v} \leq c_{u,v}, && \forall (u, v) \in E.
 \end{aligned}$$

$$\begin{aligned}
 &\min \sum_{(u,v) \in E} c_{u,v} \cdot y_{u,v} && (C) \\
 &\text{s. t.} \quad y_{u,v} + z_v \geq 1, && \forall v \in V: (s, v) \in E, \\
 &y_{u,v} - z_v \geq 0, && \forall v \in V: (v, t) \in E, \\
 &y_{u,v} - z_u + z_v \geq 0, && \forall u, v \in V - \{s, t\}: (u, v) \in E, \\
 &y_{u,v} \geq 0, && \forall (u, v) \in E. \\
 &z_v \in \mathbb{R}, && \forall v \in V - \{s, t\}.
 \end{aligned}$$



# 2-Approximation for Vertex Cover via the Dual-Fitting Technique

# The Dual-Fitting Technique

- Consider the primal and dual LPs for vertex cover.

$$\begin{array}{ll} \min & \sum_{v \in V} w_v \cdot x_v \\ \text{s. t.} & x_u + x_v \geq 1, \quad \forall (u, v) \in E, \\ & x_v \geq 0, \quad \forall v \in V. \end{array} \quad (\text{P})$$

$$\begin{array}{ll} \max & \sum_{e \in E} y_e \\ \text{s. t.} & \sum_{e \in E: v \in e} y_e \leq w_v, \quad \forall v \in V, \\ & y_e \geq 0, \quad \forall e \in E. \end{array} \quad (\text{D})$$

- By the weak duality theorem,  
any feasible solution for LP-(D) gives a lower-bound on LP-(P).
- In this part, we present a simple process that computes a feasible solution for LP-(D) that also corresponds to a 2-approximation for VC.

# The Dual-Fitting Technique

- The idea is to compute a (maximal) feasible solution of LP-(D).
  - We start with a trivial solution  $\mathbf{y} = 0$  and gradually increase its value.
  - When a vertex inequality becomes tight, *the cost of that vertex can be paid* by the dual values of its incident edges.
- During the process, a feasible integral solution for LP-(P) is also formed.

$$\begin{array}{ll} \min & \sum_{v \in V} w_v \cdot x_v \\ \text{s.t.} & x_u + x_v \geq 1, \quad \forall (u, v) \in E, \\ & x_v \geq 0, \quad \forall v \in V. \end{array} \quad (\text{P})$$

$$\begin{array}{ll} \max & \sum_{e \in E} y_e \\ \text{s.t.} & \sum_{e \in E: v \in e} y_e \leq w_v, \quad \forall v \in V, \\ & y_e \geq 0, \quad \forall e \in E. \end{array} \quad (\text{D})$$

# The Dual-Fitting Process for LP-(D)

- The simple process goes as follows.

- $\hat{\mathbf{y}} \leftarrow \mathbf{0}$ ,  
 $E' \leftarrow E, \quad V' \leftarrow V$ .
- While  $E' \neq \emptyset$ , do

$$\begin{array}{ll} \max & \sum_{e \in E} y_e \\ \text{s. t.} & \sum_{e \in E: v \in e} y_e \leq w_v, \quad \forall v \in V, \\ & y_e \geq 0, \quad \forall e \in E. \end{array} \quad (\text{D})$$

- **Raise the variable  $\hat{y}_e$  for all  $e \in E'$  simultaneously at the same rate** until the inequality  $\sum_{e \in E: v \in e} \hat{y}_e \leq w_v$  for some  $v \in V'$  holds with equality.

Let  $U \subseteq V'$  denote the set of vertices whose inequalities are tight and  $E[U]$  denote the set of incident edges of  $U$ .

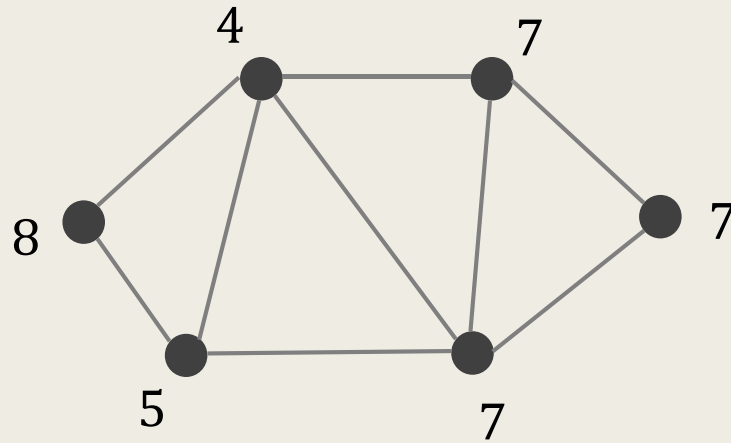
- $V' \leftarrow V' - U$ .  
 $E' \leftarrow E' - E[U]$ .

- Output  $\mathcal{C} := V - V'$ .

This process **greedily** pack the values into the dual variables until the constraints are tight.

# Example

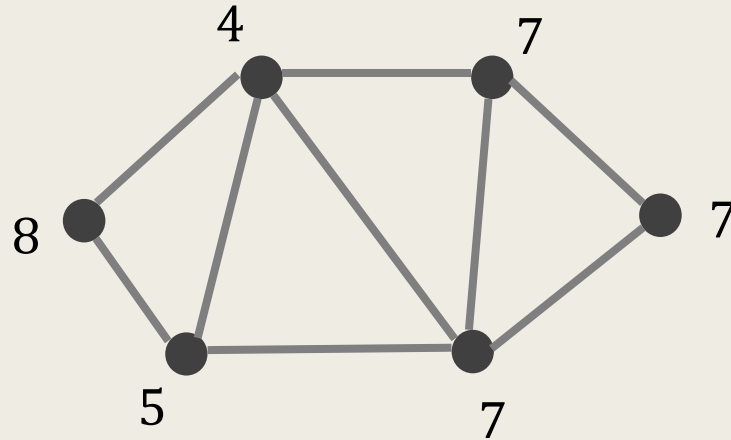
- Consider the following example.



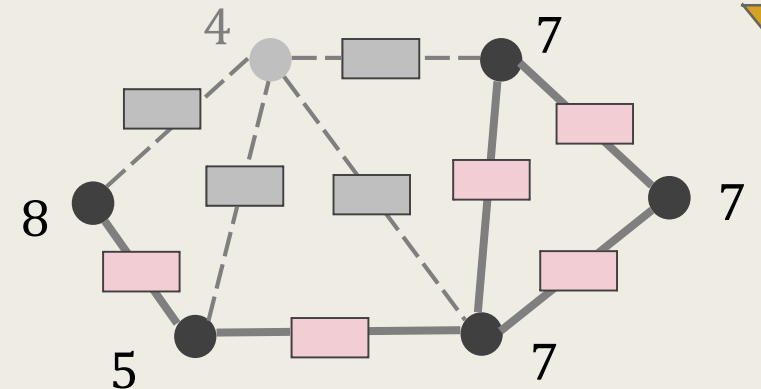
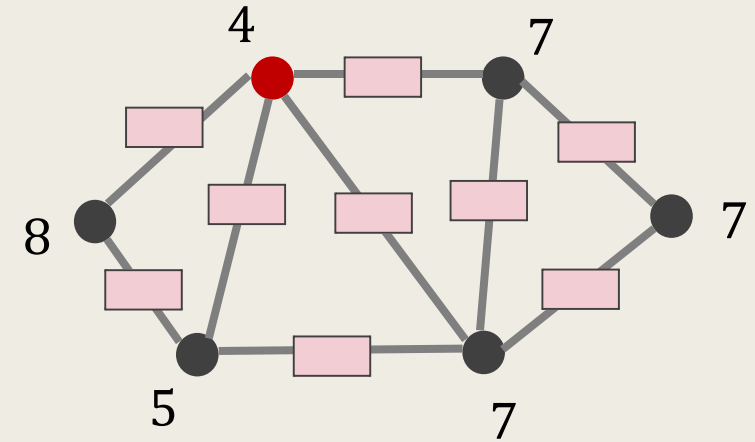
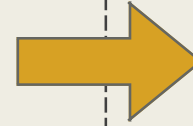
$$\begin{aligned} \max \quad & \sum_{e \in E} y_e \\ \text{s.t.} \quad & \sum_{e \in E: v \in e} y_e \leq w_v, \quad \forall v \in V, \\ & y_e \geq 0, \quad \forall e \in E. \end{aligned} \tag{D}$$

# Example

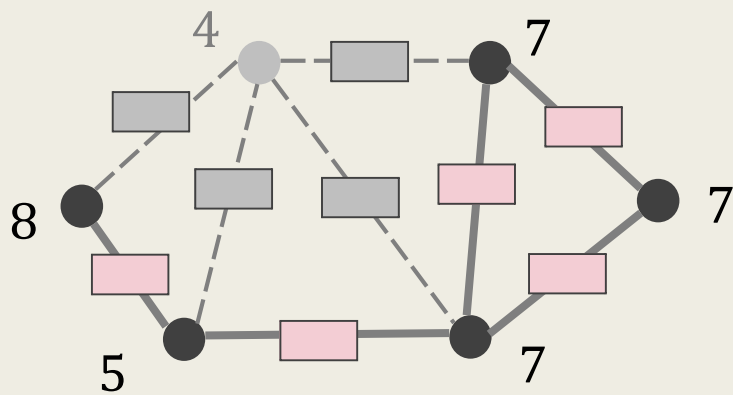
- Consider the following example.



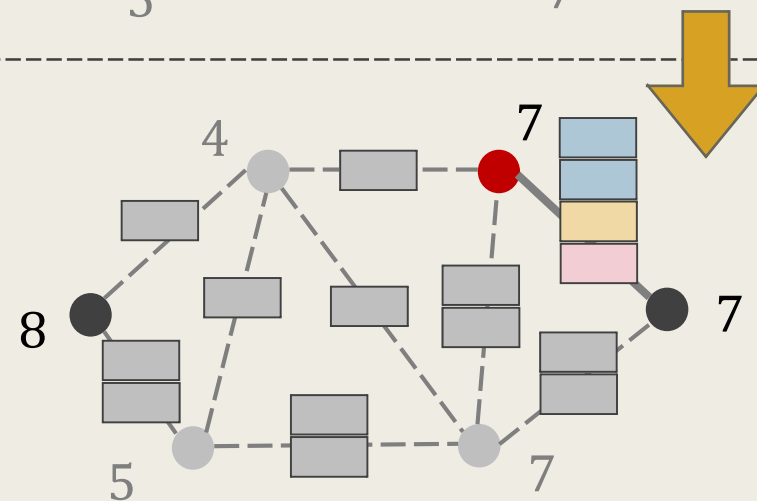
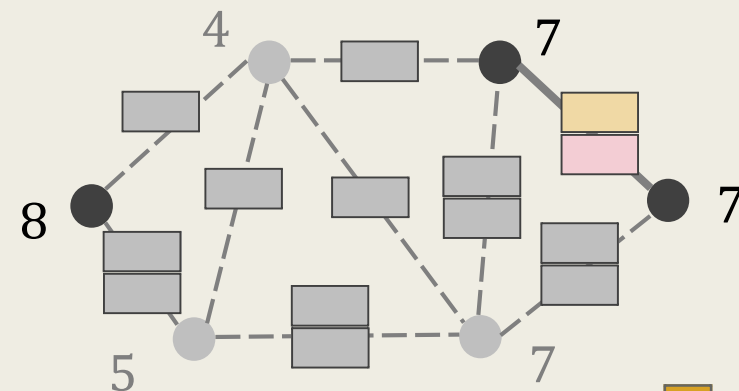
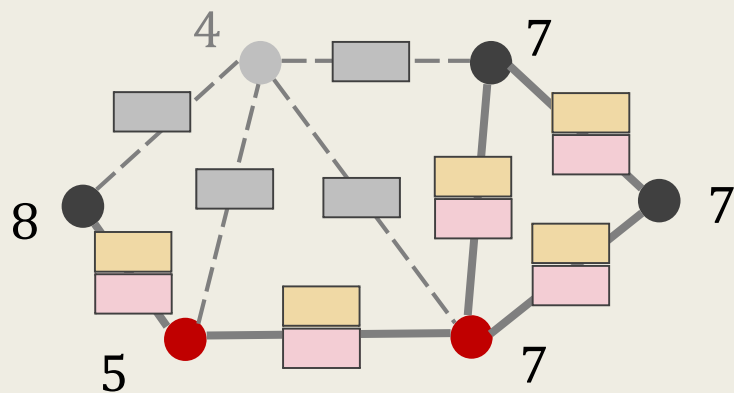
$$E' := E, \quad V' := V.$$

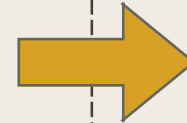
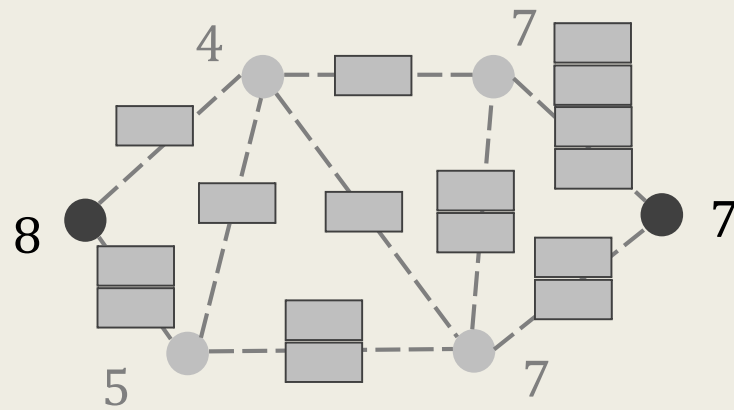
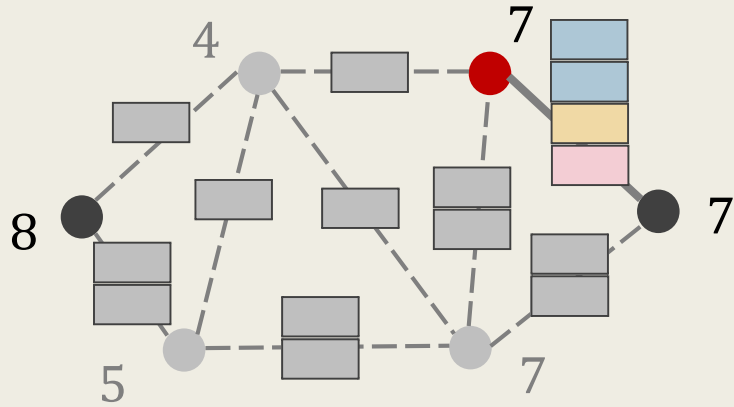


$$\begin{aligned} \max \quad & \sum_{e \in E} y_e \\ \text{s.t.} \quad & \sum_{e \in E: v \in e} y_e \leq w_v, \quad \forall v \in V, \\ & y_e \geq 0, \quad \forall e \in E. \end{aligned} \quad (D)$$



$$\begin{aligned}
 & \max \sum_{e \in E} y_e \\
 & \text{s.t.} \sum_{e \in E: v \in e} y_e \leq w_v, \quad \forall v \in V, \\
 & \quad y_e \geq 0, \quad \forall e \in E.
 \end{aligned} \tag{D}$$



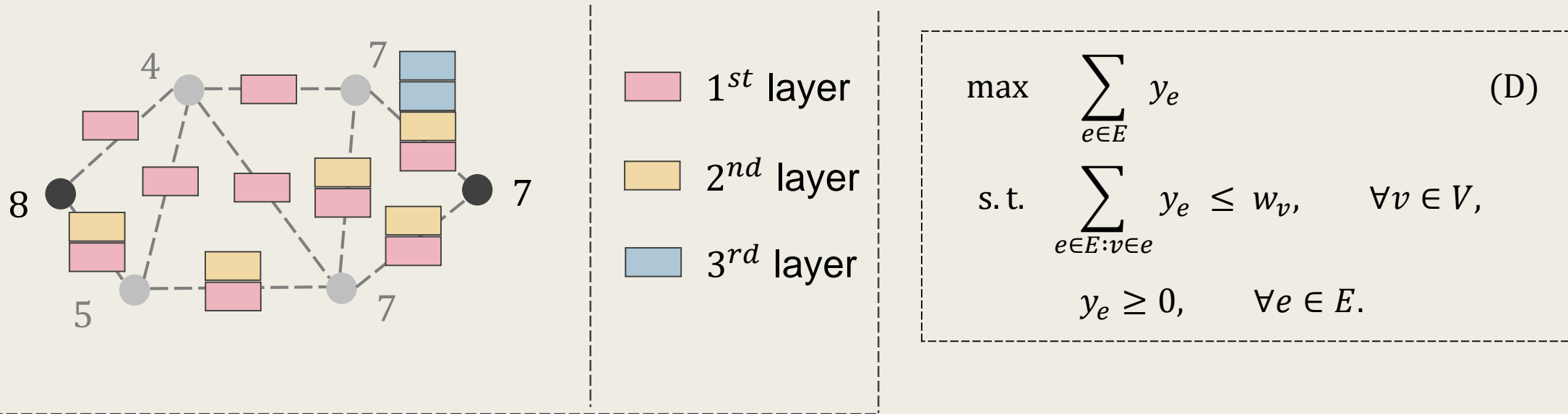


$$\begin{aligned}
 & \max \quad \sum_{e \in E} y_e & (D) \\
 & \text{s.t.} \quad \sum_{e \in E: v \in e} y_e \leq w_v, \quad \forall v \in V, \\
 & \quad \quad y_e \geq 0, \quad \forall e \in E.
 \end{aligned}$$

- For each  $v$  selected,  
we have  $\sum_{e \in E: v \in e} y_e = w_v$ .
- Each edge pays for at most two vertices.
- So, the total cost of the selected vertices is at most

$$2 \cdot \sum_{e \in E} y_e \leq 2 \cdot OPT_f.$$





- We can also observe that, *the process of raising the dual variables is equivalent to defining the “degree-weighted functions” in the layering algorithm.*
- The layering algorithm is in fact a dual-fitting algorithm.
  - Its behavior become much simpler when we look at it from the perspective of LP duality.

# The Analysis – Feasibility

- During the process,  
the following invariant holds in the beginning of each while loop.
  - For any  $e = (u, v) \in E'$ , we have  $u, v \in V'$ .
- Consider each while loop.
  - When the value of  $\hat{y}_e$  is raised for each  $e \in E'$ ,  
the inequality of each  $v \in V'$  is becoming tighter and  
some inequality will hold with equality.
  - So, at least one vertex along with its incident edges will be removed.
  - The invariant holds after each loop.
- Hence, when it ends,  $E'$  is empty and we have a feasible vertex cover.

# The Analysis – Approximation Guarantee

- For the guarantee of the output, observe that  $\hat{y}$  is a feasible solution for LP-(D).
  - By the weak duality, we have  $\sum_{e \in E} \hat{y}_e \leq OPT(LP-(P)) \leq OPT$ .
- We have

$$w(\mathcal{C}) = \sum_{v \in V - V'} w(v) = \sum_{v \in V - V'} \sum_{e \in E: v \in e} \hat{y}_e \leq 2 \cdot \sum_{e \in E} \hat{y}_e \leq 2 \cdot OPT.$$

By the dual-fitting process, each  $v \in V - V'$  has its inequality hold with equality.

Each  $e \in E$  is counted **at most twice** in the summation.

By the weak duality.

# Implementing the Dual-Fitting Process to Run in Polynomial-Time

- The simple process goes as follows.

- $w' \leftarrow w,$   
 $E' \leftarrow E, \quad V' \leftarrow V.$

- While  $E' \neq \emptyset$ , do

- Let  $t \leftarrow \min_{v \in V'} w'(v) / \deg_{E'}(v).$

- For each  $v \in V'$ , set  $w'(v) \leftarrow w'(v) - t \cdot \deg_{E'}(v).$   
Let  $U := \{ v \in V' : w'(v) = 0 \}.$

- $V' \leftarrow V' - U.$   
 $E' \leftarrow E' - E[U].$

- Output  $\mathcal{C} := V - V'.$

$$\begin{array}{ll} \max & \sum_{e \in E} y_e \\ \text{s. t.} & \sum_{e \in E: v \in e} y_e \leq w_v, \quad \forall v \in V, \\ & y_e \geq 0, \quad \forall e \in E. \end{array} \quad (\text{D})$$

This is exactly **the layering algorithm**, interpreted in the language of **LP dual-fitting**.