# Introduction to **Algorithms**

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## The Divide-and-Conquer Paradigm

The divide-and-conquer is a *powerful technique* commonly used for designing efficient algorithms.

It consists of three steps.

#### - <u>Divide</u> –

to divide the problem instance into sub-instances of smaller sizes.

<u>Conquer</u> – to conquer the sub-instances separately.

#### - <u>Merge</u> –

to merge the answer of the sub-instances for the original instance.

# **Divide-and-Conquer**

# – More Examples

More on recursion for problem solving.

# Example 1.

# **Fast Exponentiation**

Computing the power of a number (matrix) fast.

### Fast Exponentiation

Given a number a and an integer N > 0, compute  $a^N$ .

- Naive approach  $\Theta(N)$  time.
- Divide and Conquer  $\Theta(\log N)$  time.

 $a^{N} = \begin{cases} 1, & \text{if } N = 0, \\ a^{N/2} \cdot a^{N/2}, & \text{if } N \text{ is even}, N > 0, \\ a^{N/2} \cdot a^{N/2} \cdot a, & \text{if } N \text{ is odd}, N > 0. \end{cases}$ 

At most one recursion should be made here.

 $T(n) = T(n/2) + \Theta(1)$  and  $T(n) = \Theta(\log n)$ .

### **Application – Fibonacci Numbers**

For any  $n \ge 0$ , the *n*-th Fibonacci number  $F_n$  is defined as follows.

$$F_n = \begin{cases} 0, & \text{if } n = 0, \\ 1, & \text{if } n = 1, \\ F_{n-1} + F_{n-2}, & \text{if } n \ge 2. \end{cases}$$

- Naive approach  $\Theta(n)$  time.
- We can observe that, for any  $n \ge 2$ ,

$$\begin{pmatrix} F_n \\ F_{n-1} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \cdot \begin{pmatrix} F_{n-1} \\ F_{n-2} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n-1} \cdot \begin{pmatrix} F_1 \\ F_0 \end{pmatrix}.$$

Hence, via fast exponentiation, this can be computed in  $\Theta(\log n)$  time.

# Example 2.

# Maximum Sum Segment

### The Maximum Sum Segment Problem

Given a sequence of numbers  $a_1, a_2, ..., a_n$ , find a segment  $[\ell, r] \subseteq [1, n]$  such that





Naïve approach takes  $\Theta(n^2)$  time.

## The Maximum Sum Segment Problem

• This problem can be solved via divide-and-conquer in  $\Theta(n \log n)$  time.

#### - <u>Divide</u> –

Divide the current instance into two halves.

#### - <u>Conquer</u> –

Recursively solve the two sub-instances to obtain the best segment for them.



• This problem can be solved via divide-and-conquer in  $\Theta(n \log n)$  time.

#### - <u>Merge</u> –

The optimal segment is the best of the following segments.

- The best segment for the two sub-instances.
- The best segment that spans over the two sub-instances.



### The Maximum Sum Segment Problem

- Given a sequence of numbers  $a_1, a_2, ..., a_n$ , find a segment  $[\ell, r] \subseteq [1, n]$  such that  $\sum_{\ell \le i \le r} a_i$  is maximized.
- This problem can be solved via divide-and-conquer in  $\Theta(n \log n)$  time.
  - Can we do better than  $\Theta(n \log n)$ ?

#### - <u>Yes</u>.

With a cleaver observation, we can do it in  $\Theta(n)$  time.

## Maximum Sum Segment in O(n) Time

• Let  $S(I) \coloneqq \sum_{i \in I} a_i$  denote the sum of segment *I*.

<u>An Observation</u>.

If  $I = [\ell, r]$  is a segment with S(I) < 0, then for any r' > r, we always have that

$$S([\ell, r']) < S([r+1, r']).$$



#### • Consider the following algorithm.

- MaximumSumSegment(*A*[1,2,...,*n*])
  - A. best\_sum  $\leftarrow 0$ . current\_sum  $\leftarrow 0$ .
  - B. For i = 1 to n, do the following.
    - a) current\_sum  $\leftarrow$  max(0, current\_sum +  $a_i$ ).
    - b) best\_sum ← max( current\_sum, best\_sum ).
  - C. Output best\_sum.

This algorithm can be modified to output the index of the segment.



• For any  $\ell \leq j \leq r$ , we have  $S([\ell, j]) > 0$ .

- This implies that  $S([j+1,r]) < S([\ell,r])$ .
- If a segment starts at *j* + 1 and contains *r*,
  then *extending the left-end* to *ℓ* will strictly increase its sum.



- Suppose that current\_sum was reset at  $a_{\ell-1}$  and  $a_r$ , and not in-between.
  - Then, for any  $\ell \leq j < r$ , we have  $S([j+1,r]) < S([\ell,r]) \leq 0$ .
  - If a segment starts at j + 1 and contains r, then *changing its left-end* to r + 1 never decreases its sum.



- Let  $t_1 = 0, t_2, ..., t_k = n + 1$  be the set of indexes for which current\_sum was reset and  $[\ell, r]$  be a maximum sum segment.
  - Then, we have

$$t_i + 1 = \ell \leq r \leq t_{i+1}$$

for some  $1 \le i < k$ .

Hence, the algorithm produces the maximum sum segment.

## Example 3.

# Convex Hull (revisited)

Computing the Convex Hull via divide and conquer.

### **Convex Hull**

By the following property, the convex hull problem can be solved by divide-and-conquer technique.



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### **Convex Hull**

- By the above property, the convex hull problem can be solved by divide-and-conquer technique in  $\Theta(n \log n)$  time.
  - 1. Divide the points into two halves according to their x-coordinates.
  - 2. Recursively compute the convex hulls for the two sub-instances.
  - 3. Compute the common tangent points and merge the two convex hulls.
- <u>*Q*</u>: <u>*Can we do it faster*</u>, say, in  $o(n \log n)$  ?
  - The answer, however, is no.

## Sorting $\propto$ (reducible to) Convex Hull

- **Q**: Can we do it faster, say, in  $o(n \log n)$ ?
  - The answer, however, is no.
- We will show that, <u>sorting</u> is reducible to <u>convex hull</u>.
  - That is, an algorithm for computing convex hull can be used for sorting as well.
  - Hence, if convex hull can be done in o(n log n) time,
    then so is sorting.

### Sorting $\propto$ (reducible to) Convex Hull

■ We will show that, <u>sorting</u> is reducible to <u>convex hull</u>.

- Given *n* numbers  $a_1, a_2, ..., a_n$  to be sorted, we construct in O(n) time *n* points

$$p_1 = (a_1, a_1^2), p_2 = (a_2, a_2^2), \dots, p_n = (a_n, a_n^2).$$

- Since the curve  $y = x^2$  is convex, all of  $p_1, ..., p_n$  will be vertices of their convex hull.
- Hence, traversing the convex hull of  $p_1, ..., p_n$  will give us the sorted order of  $a_1, ..., a_n$  in O(n) time.

## Example 4.

# Finding Closest Pair

Computing the closest pair for a set of 2-D points.

### **Closet Pair for 2-D Points**

Given a set of points  $p_1, p_2, ..., p_n \in \mathbb{R}^2$ , find the pair (i, j)with  $1 \le i < j \le n$  such that

$$d(p_i, p_j) = \min_{1 \le k < \ell \le n} d(p_k, p_\ell) .$$

- With a naïve approach,

the closest pair can be computed in  $O(n^2)$  time.

– In the following,

we show that this can be computed in  $O(n \log n)$  time.

### **Closet Pair for 2-D Points**

- Partition the given points into two equal-sized subsets L and R according to their x-coordinates.
  - There are three cases for a closest pair to reside.



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- There are three cases for a closest pair to reside.
  - The closest pairs for *L* and *R* can be computed recursively. • Let  $\delta \coloneqq \min(\delta_L, \delta_R)$ .
  - How can we compute the closest pair between L and R fast?



### **Observation 1**

 Only points that are within a distance δ to the bisector need to be considered.



• Let  $\delta \coloneqq \min(\delta_L, \delta_R)$ .

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### Observation 2

■ For each point in the strip,

#### at most 7 points above it are relevant.



## The Algorithm

Let  $P = \{p_1, p_2, ..., p_n\}$  be the input points, and  $P_x$ ,  $P_y$  be the <u>sorted</u> orders of P according to the <u>x-coordinates</u> and <u>y-coordinates</u> separately.

- 1. *Partition* the input into two <u>equal-sized</u> subsets L and R.
- 2. *Recursively solve* L and R. Let  $\delta$  be the min-distance within L and R.
- 3. Consider the points within the strip with width  $2\delta$  centered at any bisector separating *L* and *R* <u>according to their *y*-coordinates</u>.
  - For each points considered, compare  $\delta$  to *its distance to the previous 7 points* considered.

O(n) time.

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