# **Introduction to** Algorithms

Mong-Jen Kao (高孟駿) Tuesday 10:10 – 12:00 Thursday 15:30 – 16:20

## The Divide-and-Conquer Paradigm

■ The divide-and-conquer is a *powerful technique* commonly used *for designing efficient algorithms*.

It consists of three steps.

– *Divide* –

to divide the problem instance into sub-instances of smaller sizes.

– *Conquer* – to conquer the sub-instances separately.

#### – *Merge* –

to merge the answer of the sub-instances for the original instance.

# *Divide-and-Conquer*

# – More Examples

More on recursion for problem solving.

# Example 1.

# Fast Exponentiation

Computing the power of a number (matrix) fast.

#### Fast Exponentiation

**Given a number a and an integer**  $N > 0$ **, compute**  $a^N$ **.** 

- Naive approach  $\Theta(N)$  time.
- Divide and Conquer  $\Theta(\log N)$  time.

 $a^N =$ 1, if  $N = 0$ ,  $a^{N/2} \cdot a^{N/2}$ , if N is even,  $N > 0$ ,  $a^{N/2} \cdot a^{N/2} \cdot a$ , if N is odd,  $N > 0$ .

*At most one recursion* should be made here.

 $T(n) = T(n/2) + \Theta(1)$  and  $T(n) = \Theta(\log n)$ .

#### Application – Fibonacci Numbers

■ For any  $n \geq 0$ , the *n*-th Fibonacci number  $F_n$  is defined as follows.

$$
F_n = \begin{cases} 0, & \text{if } n = 0, \\ 1, & \text{if } n = 1, \\ F_{n-1} + F_{n-2}, & \text{if } n \ge 2. \end{cases}
$$

- Naive approach  $\Theta(n)$  time.
- We can observe that, for any  $n \geq 2$ ,

$$
\begin{pmatrix} F_n \\ F_{n-1} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \cdot \begin{pmatrix} F_{n-1} \\ F_{n-2} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n-1} \cdot \begin{pmatrix} F_1 \\ F_0 \end{pmatrix}.
$$

Hence, via fast exponentiation, this can be computed in  $\Theta(\log n)$  time.

# Example 2.

# Maximum Sum Segment

### The Maximum Sum Segment Problem

**Given a sequence of numbers**  $a_1, a_2, ..., a_n$ , find a segment  $[\ell, r] \subseteq [1, n]$  such that





Naïve approach takes  $\Theta(n^2)$  time.

# The Maximum Sum Segment Problem

**This problem can be solved via divide-and-conquer in**  $\Theta(n \log n)$  **time.** 

#### – *Divide* –

Divide the current instance into two halves.

#### – *Conquer* –

Recursively solve the two sub-instances to obtain the best segment for them.



**This problem can be solved via divide-and-conquer in**  $\Theta(n \log n)$  **time.** 

#### – *Merge* –

The optimal segment is the best of the following segments.

- The best segment for the two sub-instances.
- The best segment that spans over the two sub-instances.



## The Maximum Sum Segment Problem

- **Given a sequence of numbers**  $a_1, a_2, ..., a_n$ , find a segment  $[\ell, r] \subseteq [1, n]$  such that  $\sum_{\ell \leq i \leq r} a_i$  is maximized.
- **This problem can be solved via divide-and-conquer in**  $\Theta(n \log n)$  **time.** 
	- Can we do better than  $\Theta(n \log n)$ ?

#### – **Yes**.

With a cleaver observation, we can do it in  $\Theta(n)$  time.

## Maximum Sum Segment in  $O(n)$  Time

■ Let  $S(I) \coloneqq \sum_{i \in I} a_i$  denote the sum of segment *I*.

■ *An Observation*.

If  $I = [\ell, r]$  is a segment with  $S(I) < 0$ , then for any  $r' > r$ , we always have that

$$
S([l,r']) < S([r+1,r']) .
$$



#### ■ Consider the following algorithm.

- MaximumSumSegment( $A[1, 2, ..., n]$ )
	- A. best\_sum  $\leftarrow$  0. current\_sum  $\leftarrow$  0.
	- B. For  $i = 1$  to n, do the following.
		- a) current\_sum  $\leftarrow$  max(0, current\_sum +  $a_i$ ).
		- b) best\_sum  $\leftarrow$  max( current\_sum, best\_sum).
	- C. Output best\_sum.

This algorithm can be modified to output the index of the segment.



■ For any  $l \leq j \leq r$ , we have  $S([\ell, j]) > 0$ .

- This implies that  $S([j + 1, r]) < S([l, r])$ .
- If a segment starts at  $j + 1$  and contains  $r$ , then *extending the left-end* to *ℓ* will strictly increase its sum.



- Suppose that current\_sum was reset at  $a_{\ell-1}$  and  $a_r$ , and not in-between*.*
	- Then, for any  $l \leq j < r$ , we have  $S([j + 1, r]) < S([l, r]) \leq 0$ .
	- If a segment starts at  $j + 1$  and contains  $r$ , then *changing its left-end* to  $r + 1$  never decreases its sum.



- Let  $t_1 = 0, t_2, ..., t_k = n + 1$  be the set of indexes for which current\_sum was reset and  $\lbrack \ell,r \rbrack$  be a maximum sum segment.
	- Then, we have

$$
t_i + 1 = \ell \leq r \leq t_{i+1}
$$

for some  $1 \leq i \leq k$ .

■ Hence, the algorithm produces the maximum sum segment.

## Example 3.

# Convex Hull (revisited)

Computing the Convex Hull via divide and conquer.

### Convex Hull

■ By the following property, the convex hull problem can be solved by divide-and-conquer technique.



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### Convex Hull

- By the above property, the convex hull problem can be solved by divide-and-conquer technique in  $\Theta(n \log n)$  time.
	- 1. Divide the points into two halves according to their x-coordinates.
	- 2. Recursively compute the convex hulls for the two sub-instances.
	- 3. Compute the common tangent points and merge the two convex hulls.
- **Q: Can we do it faster**, say, in  $o(n \log n)$ ?
	- The answer, however, is no.

## Sorting ∝ (reducible to) Convex Hull

- **Q:** Can we do it faster, say, in  $o(n \log n)$ ?
	- The answer, however, is no.
- We will show that, *sorting is reducible to convex hull*.
	- That is, an algorithm for computing convex hull can be used for sorting as well.
	- Hence, if convex hull can be done in  $o(n \log n)$  time, then so is sorting.

## Sorting ∝ (reducible to) Convex Hull

■ We will show that, *sorting is reducible to convex hull*.

- Given *n* numbers  $a_1, a_2, ..., a_n$  to be sorted, we construct in  $O(n)$  time *n* points

$$
p_1 = (a_1, a_1^2), p_2 = (a_2, a_2^2), \dots, p_n = (a_n, a_n^2).
$$

- Since the curve  $y = x^2$  is convex, all of  $p_1, ..., p_n$  will be vertices of their convex hull.
- Hence, traversing the convex hull of  $p_1, ..., p_n$  will give us the sorted order of  $a_1, ..., a_n$  in  $O(n)$  time.

## Example 4.

# Finding Closest Pair

Computing the closest pair for a set of 2-D points.

#### Closet Pair for 2-D Points

■ Given a set of points  $p_1, p_2, ..., p_n \in \mathbb{R}^2$ , find the pair  $(i, j)$ with  $1 \leq i \leq j \leq n$  such that

$$
d(p_i, p_j) = \min_{1 \leq k < \ell \leq n} d(p_k, p_\ell) \, .
$$

- With a naïve approach,
	- the closest pair can be computed in  $O(n^2)$  time.
- In the following,

we show that this can be computed in  $O(n \log n)$  time.

### Closet Pair for 2-D Points

- **Partition the given points into two equal-sized subsets**  $L$  **and**  $R$ according to their  $x$ -coordinates.
	- There are three cases for a closest pair to reside.



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- There are three cases for a closest pair to reside.
	- The closest pairs for  $L$  and  $R$  can be computed recursively.  $\blacksquare$  Let  $\delta \coloneqq \min(\delta_L, \delta_R)$ .
	- How can we compute the closest pair between  $L$  and  $R$  fast?



### Observation 1

■ Only *points that are within a distance to the bisector* need to be considered.



**■** Let  $\delta := \min(\delta_L, \delta_R)$ .

### Observation 2

■ For each point in the strip,

*at most 7 points above it* are relevant.



**■** Let  $\delta := \min(\delta_L, \delta_R)$ .

## The Algorithm

Let  $P = \{p_1, p_2, ..., p_n\}$  be the input points, and  $P_x$ ,  $P_y$  be the *sorted orders* of P according to the *x*-coordinates and *y*-coordinates separately.

- 1. **Partition** the input into two equal-sized subsets L and R.
- 2. **Recursively solve** *L* and *R*. Let  $\delta$  be the min-distance within L and R.
- 3. Consider the points within the strip with width 28 centered at any bisector separating *L* and *R* according to their *y*-coordinates.
	- For each points considered, compare  $\delta$ to *its distance to the previous 7 points* considered.

 $O(n)$  time.

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