# Introduction to **Algorithms**

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## **Binary Search**

Find the *boundary of 0-1* in a *0-1 monotone sequence fast*.

### **Two Typical Scenarios**

Given a sequence of numbers  $a_1, a_2, ..., a_n$  that are <u>sorted</u> in *non-descending order*.

For a given value k, the first element  $\geq k$ .

- Find the smallest index *i* such that  $a_i \not\leq k$ .

- Find the smallest index j such that  $a_j > k$ .

the first element > k.



### **Two Typical Scenarios**

Given a sequence of numbers  $a_1, a_2, ..., a_n$  that a find non-descending order.

For a given value k,

- Find the smallest index *i* such that  $a_i \not< k$ .

- Find the smallest index *j* such that  $a_j > k$ .

j - i is the number of times k appears.

the first element  $\geq k$ .

the first element > k.



#### The General Scenario

- Given a 0-1 sequence  $a_1, a_2, ..., a_n$  sorted in order, furth<u>er assume</u> that  $a_0 = 0$  and  $a_{n+1} = 1$ .
  - Find the index *i* such that  $a_i \neq a_{i+1}$ , i.e., identify the boundary of 0 and 1.



#### Alternative (Equivalent) Scenario

- Given a 0-1 sequence  $a_1, a_2, ..., a_n$  sorted in order, <u>further assume</u> that  $a_0 = 0$  and  $a_{n+1} = 1$ .
  - Find the index *i* such that  $a_i \neq a_{i+1}$ , i.e., identify the boundary of 1 and 0.



#### **Conversion to the General Scenario**

- The first search problem can be converted to the general form.
  - Find the smallest index *i* such that  $a_i \not< k$ .

For 
$$k = 6$$
,



#### Conversion to the General Scenario

- The second search problem can be converted to the general form, too.
  - Find the smallest index j such that  $a_j > k$ .

For 
$$k = 6$$
,

# Binary Search on 0-1 Sequence

Find the *boundary of 0-1* in a *0-1 monotone sequence fast*.

#### **Problem Scenario**

- Let  $a_1, a_2, \dots, a_n$  be a 0-1 sequence of interests.
  - We further assume that  $a_0 = 0$  and  $a_{n+1} = 1$ .
  - Find the index  $i \in \{0, 1, ..., n\}$  such that  $a_i = 0$  and  $a_{i+1} = 1$ .



Let  $a_1, a_2, \dots, a_n$  be a 0-1 sequence. Assume that  $a_0 = 0$  and  $a_{n+1} = 1$ .

- Given two indexes  $\ell < r$  with  $a_{\ell} = 0$  and  $a_r = 1$ , find the index  $i \in [\ell, r - 1]$  such that  $a_i = 0$  and  $a_{i+1} = 1$ .
  - If  $r \ell$  is 1, then we're done.

$$\begin{array}{c|c} 0 & 1 \\ a_{\ell} & a_{r} \end{array}$$

- Otherwise, 
$$r - \ell > 1$$
.

Take mid :=  $\lfloor (\ell + r)/2 \rfloor$  and inspect  $a_{\text{mid}}$ .



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- Take mid :=  $\lfloor (\ell + r)/2 \rfloor$  and inspect  $a_{\text{mid}}$ .
  - If  $a_{\text{mid}} = 0$ , then the answer is in the right-hand-side.

We have a <u>recursive problem</u> on (mid, r).



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- Take mid :=  $\lfloor (\ell + r)/2 \rfloor$  and inspect  $a_{\text{mid}}$ .
  - If  $a_{\text{mid}} = 1$ , then the answer is in the left-hand-side.

We have a <u>recursive problem</u> on  $(\ell, \text{mid})$ .



■ BinarySearch(L, R) - To search the 0-1 sequence  $a_L, ..., a_R$ 

A. 
$$\ell \leftarrow L - 1$$
.  
 $r \leftarrow R + 1$ .

- B. While  $r \ell > 1$ , do the following.
  - a) mid  $\leftarrow \lfloor (\ell + r)/2 \rfloor$ .
  - b) If  $a_{mid}$  is 0, set  $\ell \leftarrow$  mid. Otherwise, set  $r \leftarrow$  mid.

C. Report  $(\ell, r)$ .

#### **Correctness of Binary Search**

In step (Ba),

we always have  $L \leq \text{mid} \leq R$ .

- When  $\ell < r - 1$ , we have

 $\ell < \lfloor (\ell + r)/2 \rfloor < r.$ 

■ The answer to be searched is always contained within [ℓ, r] in the beginning of the while loop in step (B).

#### Time Complexity

The running time of this algorithm can be described by the following recurrence.

$$T(n) = \begin{cases} \Theta(1), & n \le 2, \\ T(\lfloor n/2 \rfloor) + \Theta(1), & n > 3. \end{cases}$$

- Solving the recurrence, we obtain  $T(n) = \Theta(\log n)$ .

■ LowerBound(A[1...n], k) - A[1...n] sorted in non-descending order. Find the smallest *i* such that  $A[i] \ge k$ 

- A.  $\ell \leftarrow 0$ .  $r \leftarrow n+1$ .
- B. While  $r \ell > 1$ , do the following.
  - a) mid  $\leftarrow \lfloor (\ell + r)/2 \rfloor$ .
  - b) If  $a_{mid} < k$ , set  $\ell \leftarrow$  mid. Otherwise, set  $r \leftarrow$  mid.
- C. If *r* equals n + 1, then report "No such element". Otherwise, report *r*.

■ UpperBound(A[1...n], k) - A[1...n] sorted in non-descending order. Find the smallest *i* such that A[i] > k

- A.  $\ell \leftarrow 0$ .  $r \leftarrow n+1$ .
- B. While  $r \ell > 1$ , do the following.
  - a) mid  $\leftarrow \lfloor (\ell + r)/2 \rfloor$ .
  - b) If  $k < a_{mid}$ , set  $r \leftarrow mid$ . Otherwise, set  $\ell \leftarrow mid$ .
- C. If *r* equals n + 1, then report "No such element". Otherwise, report *r*.