

Name: _____ ID: _____

Note: 20 points for each problem.

1. Suppose we have a source with a probability model $P = \{p_0, p_1, \dots, p_m\}$ and entropy H_P . Suppose we have another source with probability model $Q = \{q_0, q_1, \dots, q_m\}$ and entropy H_Q , where

$$q_i = p_i, i = 0, 1, \dots, j - 2, j + 1, \dots, m$$

and

$$q_j = q_{j-1} = (p_j + p_{j-1})/2.$$

How is H_Q related to H_P (greater, equal, or less)? Prove your answer.

[Solution]

Problem 4.

$$\begin{aligned} H_Q - H_P &= -\sum_{i=1}^m q_i \log_2 q_i + \sum_{i=1}^m p_i \log_2 p_i \\ &= -q_{j-1} \log_2 q_{j-1} - q_j \log_2 q_j + p_{j-1} \log_2 p_{j-1} + p_j \log_2 p_j \end{aligned}$$

Given a function

$$f_a(x) = -x \log x - (a - x) \log(a - x)$$

we can easily show that $f_a(x)$ is maximum for $x = \frac{a}{2}$. Let

$$p_{j-1} + p_j = c$$

then

$$q_{j-1} = q_j = \frac{c}{2}$$

Then

$$\begin{aligned} H_Q - H_P &= -\frac{c}{2} \log_2 \frac{c}{2} - \frac{c}{2} \log_2 \frac{c}{2} + p_j \log_2 p_j + (c - p_j) \log_2(c - p_j) \quad (1) \\ &= f_c\left(\frac{c}{2}\right) - f_c(p_j) \\ &\geq 0 \end{aligned}$$

Therefore $H_Q \geq H_P$.

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2. A source has symbol probabilities $p(a) = 0.4, p(b) = 0.1, p(c) = 0.3,$ and $p(d) = 0.2$.
- Find a Huffman code for the source.
 - Design a 4-bit Tunstall code for the source.

[Solution]

a)

Symbol	Step 1	Step 2	Step 3	Code
<i>a</i>	0.4	0.4	0.6	1
<i>c</i>	0.3	0.3	0.4	00
<i>d</i>	0.2	0.3		010
<i>b</i>	0.1			011

b)

Initial list:		First iteration:		Second iteration:		Third iteration:		
Letter	Prob.	Letters	Prob.	Letter	Prob.	Letter	Prob.	Code
<i>a</i>	0.4	<i>b</i>	0.1	<i>b</i>	0.1	<i>b</i>	0.1	0000
<i>b</i>	0.1	<i>c</i>	0.3	<i>d</i>	0.2	<i>aa</i>	0.16	0001
<i>c</i>	0.3	<i>d</i>	0.2	<i>ab</i>	0.04	<i>ab</i>	0.04	0010
<i>d</i>	0.2	<i>aa</i>	0.16	<i>ac</i>	0.12	<i>ac</i>	0.12	0011
		<i>ab</i>	0.04	<i>ad</i>	0.08	<i>ad</i>	0.08	0100
		<i>ac</i>	0.12	<i>cb</i>	0.03	<i>cb</i>	0.03	0101
		<i>ad</i>	0.08	<i>cc</i>	0.09	<i>cc</i>	0.09	0110
				<i>cd</i>	0.06	<i>cd</i>	0.06	0111
				<i>caa</i>	0.048	<i>da</i>	0.08	1000
				<i>cab</i>	0.012	<i>db</i>	0.02	1001
				<i>cac</i>	0.036	<i>dc</i>	0.06	1010
				<i>cad</i>	0.024	<i>dd</i>	0.04	1011
						<i>caa</i>	0.048	1100
						<i>cab</i>	0.012	1101
						<i>cac</i>	0.036	1110
						<i>cad</i>	0.024	1111

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3. Given a number a in the interval $[0, 1)$ with an n -bit binary representation $[b_1b_2\dots b_n]$, show that for any other number b to have a binary representation with $[b_1b_2\dots b_n]$ as the prefix, b has to lie in the interval $[a, a + 1/2^n)$.

[Solution]

The number a can be expressed as:

$$a = b_1 \cdot 2^{-1} + b_2 \cdot 2^{-2} + \dots + b_n \cdot 2^{-n}.$$

If b also has a binary representation $[b_1b_2\dots b_n]$ as prefix, then

$$b = b_1 \cdot 2^{-1} + b_2 \cdot 2^{-2} + \dots + b_n \cdot 2^{-n} + b_{n+1} \cdot 2^{-(n+1)} + \dots$$

Therefore,

$$b - a = b_{n+1} \cdot 2^{-(n+1)} + \dots$$

Obviously $b - a \geq 0$ and $b \geq a$.

On the other hand,

$$\begin{aligned} b - a &= b_{n+1} 2^{-(n+1)} + b_{n+2} 2^{-(n+2)} + \dots \\ &\leq 2^{-(n+1)} + 2^{-(n+2)} + \dots \\ &< \frac{1}{2^n}. \end{aligned}$$

Therefore, $b < a + 1/2^n$.

Note that in the context table, the cumulative count is calculated from left-to-right. For example, the zero-order context has cumulative count as follows: $h = 0$, $e = 1$, $t = 3$, $a = 5$, $c = 6$, and $\Delta = 8$.

The transmitted sequence after encoding of $cat\Delta ate\Delta$ is 11000011111101100000100 and the current lower and upper bounds are $l = 011100$ and $u = 110011$. Please encode the next letter h and write down the newly transmitted bits for h and the updated lower and upper bounds.

Hint: For an integer AC implementation, the message interval can be updated by:

$$l^{(n)} = l^{(n-1)} + \lfloor (u^{(n-1)} - l^{(n-1)} + 1) \times cum_count(x_n - 1) / total_count \rfloor,$$

$$u^{(n)} = l^{(n-1)} + \lfloor (u^{(n-1)} - l^{(n-1)} + 1) \times cum_count(x_n) / total_count \rfloor - 1.$$

[Solution]

No h in 1st order context of Δ , encode $\langle ESC \rangle$, $l = 101000$, and $u = 110011 \rightarrow E_2$ scale.

Transmitted sequence: ****1.

Updated bounds: $l = 010000$, $u = 100111 \rightarrow E_3$ scale.

Updated bounds: $l = 000000$, $u = 101111$, E_3 count = 1.

No 0 order context for h , encode $\langle ESC \rangle$, $l = 101010$, $u = 101111 \rightarrow E_2$ scale.

Transmitted sequence: ****110.

Updated bounds: $l = 010100$, $u = 011111$, E_3 count = 0 $\rightarrow E_1$ scale.

Transmitted sequence: ****1100.

Updated bounds: $l = 101000$, $u = 111111 \rightarrow E_2$ scale.

Transmitted sequence: ****11001.

Updated bounds: $l = 010000$, $u = 111111$.

Use -1 order context to encode h , $l = 010000$, $u = 010111 \rightarrow E_1 + E_2 + E_1$ scales.

Transmitted sequence: ****11001010.

Updated bounds: $l = 000000$, $u = 111111$.

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